

Minnesota Pollution Control Agency

520 Lafayette Road, Saint Paul, Minnesota 55155

Telephone (612) 296-6300



April 18, 1990

Mr. Valdas Adamkus
Regional Administrator
U.S. Environmental Protection Agency
230 South Dearborn Street
Chicago, Illinois 60604

RECEIVED
APR 27 1990

SUPERFUND PROGRAM
MANAGEMENT BRANCH

Dear Mr. Adamkus:

RE: Multi-Site Cooperative Agreement Award V005794-01-30; Adrian, Union Scrap, South Andover, Long Prairie, Arrowhead, and Kummer Sites

I am pleased to accept the above referenced amendment. The receipt of an additional \$76,945 and the transfer of excess Kummer remedial design dollars will allow the Minnesota Pollution Control Agency (MPCA) to complete the Kummer, Operable Unit 2, Remedial Investigation and Feasibility Study (RI/FS). The establishment of project/budget periods for the Adrian and South Andover RI/FS Management Assistance projects, the rebudget of the Kummer Operable Unit 1 remedial action dollars, and the modifications to the project/budget periods for the Union Scrap, South Andover, Long Prairie, Arrowhead, Kummer, and Adrian sites will allow MPCA to complete activities at those sites.

We appreciate your staff's efforts in securing these additional funds. Enclosed are one original and two photocopies of the executed document.

Thank you for your staff's assistance in executing this award. I look forward to the continued success of the Superfund Program in Minnesota.

Sincerely,

Barbara Ludwig Sims

for Gerald L. Willet
Commissioner

Enclosures

GLW:cd

cc: Lois Betka, U.S. Environmental Protection Agency

RECEIVED
APR 25 1990
GRANTS MANAGEMENT SECTION
REGION V

U.S. ENVIRONMENTAL PROTECTION AGENCY
EPA ASSISTANCE AGREEMENT/AMENDMENT
PART I - ASSISTANCE NOTIFICATION INFORMATION

1. ASSISTANCE ID NO.

2. LOG NUMBER

V00 14-01-30

3. DATE OF AWARD

MAR 26 1990

4. MAILING DATE

APR 02 1990

5. AGREEMENT TYPE

Cooperative Agreement

Grant Agreement

Assistance Amendment

6. PAYMENT METHOD

☐ Advance

☐ Reimbursement

☒ Letter of Credit 68-13-0502

Send Payment Request To:

7. TYPE OF ACTION

Augmentation/Increase/
Rebudgeting/Time Extension

RECIPIENT ORGANIZATION

8. RECIPIENT

Minnesota Pollution Control Agency
520 Lafayette Road
St. Paul, Minnesota 55155

9. PAYEE

Same as Block 8

EIN NO.

41-6007162

CONGRESSIONAL DISTRICT

Statewide

10. RECIPIENT TYPE

State

11. PROJECT MANAGER AND TELEPHONE NO.

James Warner
(612) 296-7333
(Same address as Block 8)

12. CONSULTANT (WWT Construction Grants Only)

N/A

EPA CONTACT

13. ISSUING OFFICE (City/State)

Chicago, Illinois

14. EPA PROJECT/STATE OFFICER AND TELEPHONE NO.

Lois Betka (5HSM) (312) 886-6399
State Relations Unit, SPMB
U.S. Environmental Protection Agency
230 South Dearborn Street
Chicago, Illinois 60604

15. EPA CONGRESSIONAL LIAISON & TEL. NO.

Pat Gaskins (202) 382-5184

16. STATE APPL ID (Clearinghouse)

17. FIELD OF SCIENCE

N/A

18. PROJECT STEP (WWT CG Only)

N/A

19. STATUTORY AUTHORITY

P.L. 96-510

20. REGULATORY AUTHORITY

40 CFR Parts 29, 30,
32, 33 and 35

21. STEP 2 + 3 & STEP 3 (WWT Construction Only)

a. Treatment Level

b. Project Type

c. Treatment Process

d. Sludge Design

N

A

22. PROJECT TITLE AND DESCRIPTION Transfer of funds from the Adrian RI/FS to South Andover for RI/FS support agency services and RD activities. Combine SOWs and funding for Kummer RI/FS O.U.s 3 and 4. Increase the funding for Kummer RI/FS and transfer excess Kummer RD funds to the Kummer RI/FS. Transfer Adrian RI/FS funds to Adrian Support Agency Services for RI/FS. Extend 7 projects.

23. PROJECT LOCATION (Areas Impacted by Project)

City/Place

Statewide

County

N/A

State

MN

Congressional District

Statewide

24. ASSISTANCE PROGRAM (CFDA Program No. & Title)

66.802 Superfund

25. PROJECT PERIOD

10/01/84 - 09/30/91

26. BUDGET PERIOD

10/01/84 - 09/30/91

27. COMMUNITY POPULATION (WWT CG Only)

28. TOTAL BUDGET PERIOD COST

\$13,215,675

29. TOTAL PROJECT PERIOD COST

\$13,215,675

FUNDS

FORMER AWARD

THIS ACTION

AMENDED TOTAL

30. EPA Amount This Action

\$12,659,131

\$76,945

\$12,736,076

31. EPA In-Kind Amount

32. Unexpended Prior Year Balance

33. Other Federal Funds

34. Recipient Contribution

35. State Contribution

479,599

-0-

479,599

36. Local Contribution

37. Other Contribution

38. Allowable Project Cost

\$13,138,730

\$76,945

\$13,215,675

39. FISCAL

Program Element

FY

Appropriation

Doc. Control No.

Account Number

Object Class

Obligation/Deoblig. Amount

TFAY9A

90

6820X8145

SF0031

OTFAJAFLE8

4185

76,945

| TABLE A - OBJECT CLASS CATEGORY (Non-construction) | | TOTAL APPROVED ALLOWABLE BUDGET PERIOD COST |
|--|--|--|
| 1. PERSONNEL | | \$ 972,645 |
| 2. FRINGE BENEFITS | | 174,936 |
| 3. TRAVEL | | 75,904 |
| 4. EQUIPMENT | | 5,420 |
| 5. SUPPLIES | | 7,720 |
| 6. CONTRACTUAL | | 8,269,264 |
| 7. CONSTRUCTION | | 3,257,015 |
| 8. OTHER | | 35,738 |
| 9. TOTAL DIRECT CHARGES | | 12,798,642 |
| 10. INDIRECT COSTS: RATE % BASE | | 417,033 |
| 11. TOTAL (Share: Recipient _____ % Federal _____ %) | | \$13,215,675 |
| 12. TOTAL APPROVED ASSISTANCE AMOUNT | | \$ 12,736,076 |
| TABLE B - PROGRAM ELEMENT CLASSIFICATION (Non-construction) | | |
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |
| 6. | | |
| 7. | | |
| 8. | | |
| 9. | | |
| 10. | | |
| 11. | | |
| 12. TOTAL (Share: Recipient _____ % Federal _____ %) | | |
| 13. TOTAL APPROVED ASSISTANCE AMOUNT | | \$ |
| TABLE C - PROGRAM ELEMENT CLASSIFICATION (Construction) | | |
| 1. ADMINISTRATION EXPENSE | | |
| 2. PRELIMINARY EXPENSE | | |
| 3. LAND STRUCTURES, RIGHT-OF-WAY | | |
| 4. ARCHITECTURAL ENGINEERING BASIC FEES | | |
| 5. OTHER ARCHITECTURAL ENGINEERING FEES | | |
| 6. PROJECT INSPECTION FEES | | |
| 7. LAND DEVELOPMENT | | |
| 8. RELOCATION EXPENSES | | |
| 9. RELOCATION PAYMENTS TO INDIVIDUALS AND BUSINESSES | | |
| 10. DEMOLITION AND REMOVAL | | |
| 11. CONSTRUCTION AND PROJECT IMPROVEMENT | | |
| 12. EQUIPMENT | | |
| 13. MISCELLANEOUS | | |
| 14. TOTAL (Lines 1 thru 13) | | |
| 15. ESTIMATED INCOME (If applicable) | | |
| 16. NET PROJECT AMOUNT (Line 14 minus 15) | | |
| 17. LESS: INELIGIBLE EXCLUSIONS | | |
| 18. ADD: CONTINGENCIES | | |
| 19. TOTAL (Share: Recipient _____ % Federal _____ %) | | |
| 20. TOTAL APPROVED ASSISTANCE AMOUNT | | \$ |

PART III-AWARD CONDITIONS

a. GENERAL CONDITIONS

The recipient covenants and agrees that it will expeditiously initiate and timely complete the project work for which assistance has been awarded under this agreement, in accordance with all applicable provisions of 40 CFR Chapter I, Subpart B. The recipient warrants, represents, and agrees that it, and its contractors, subcontractors, employees and representatives, will comply with: (1) all applicable provisions of 40 CFR Chapter I, Subchapter B, INCLUDING BUT NOT LIMITED TO the provisions of Appendix A to 40 CFF Part 30, and (2) any special conditions set forth in this assistance agreement or any assistance amendment pursuant to 40 CFR 30.425.

b. SPECIAL CONDITIONS:

(For cooperative agreements include identification or summarization of EPA responsibilities that reflect or contribute to substantial involvement.)

New Adrian budgets as revised by this Amendment are as follows:

| <u>Category</u> | <u>Adrian RI/FS</u> | <u>Adrian RI/FS Support Agency Services</u> |
|----------------------------------|---------------------|---|
| Personnel | \$ 33,806 | \$ 4,880 |
| Fringe Benefits | 6,085 | 880 |
| Travel | 2,478 | 110 |
| Equipment | -0- | -0- |
| Supplies | -0- | -0- |
| Contractual | 478,499 | -0- |
| Construction | -0- | -0- |
| Other | 176 | -0- |
| Total Direct Charges | \$521,044 | \$5,870 |
| Indirect Charges | 14,480 | 2,130 |
| Total | \$535,524 | \$8,000 |
| Total Approved Assistance Amount | \$535,524 | \$8,000 |

This Amendment transfers \$30,820 to South Andover account number 7TFA05FL45 for RI/FS Source Project Support Agency Services, and transfers \$12,597 to South Andover account number 7TFA5AFN45 for RD. Both transfers are from the Adrian RI/FS account number 7TFA05FL1H, document control number 009172.

| <u>Category</u> | <u>South Andover RI/FS Support Agency Services</u> | <u>South Andover RD</u> |
|----------------------------------|--|-------------------------|
| Personnel | \$43,120 | \$ 36,752 |
| Fringe Benefits | 7,983 | 6,616 |
| Travel | 520 | 3,248 |
| Equipment | -0- | -0- |
| Supplies | 10 | -0- |
| Contractual | -0- | 200,000 |
| Construction | -0- | -0- |
| Other | 317 | -0- |
| Total Direct Charges | \$51,950 | \$246,616 |
| Indirect Charges | 18,926 | 15,981 |
| Total | \$70,876 | \$262,597 |
| Total Approved Assistance Amount | \$70,876 | \$262,597 |

Special Conditions cont.

V005794-01-30

Page 3a of 3

New Federal funds in the amount of \$76,945 are added to the Kummer RI/FS and excess RD funds in the amount of \$46,500 are transferred from Kummer account number 9TFA5AFNR8, document control number SB0003 to 9TFA5AFLR8, document control number SF0019 for the Kummer RI/FS. The revised budgets are as follows:

| <u>Category</u> | <u>Kummer RI/FS</u> | <u>Kummer RD</u> |
|----------------------------------|---------------------|------------------|
| Personnel | \$ 128,933 | \$ 31,320 |
| Fringe Benefits | 23,204 | 5,636 |
| Travel | 7,380 | 6,243 |
| Equipment | 4,500 | 120 |
| Supplies | 240 | -0- |
| Contractual | 1,072,989 | 574,528 |
| Construction | -0- | -0- |
| Other | 1,215 | 54 |
| Total Direct Charges | <u>\$1,238,461</u> | <u>\$617,901</u> |
| Indirect Charges | 55,464 | 14,218 |
| Total | <u>\$1,293,925</u> | <u>\$632,119</u> |
| Total Approved Assistance Amount | \$1,182,599 | \$632,119 |
| State Advance Match | \$ 111,326 | -0- |

Existing funds for the Kummer operable unit RA are rebudgeted as reflected in the following revised budget:

| <u>Category</u> | <u>Kummer RA</u> |
|----------------------------------|--------------------|
| Personnel | \$ 46,246 |
| Fringe Benefits | 8,324 |
| Travel | 2,600 |
| Equipment | -0- |
| Supplies | -0- |
| Contractual | 3,860 |
| Construction | 2,041,824 |
| Other | 750 |
| Total Direct Charges | <u>\$2,103,604</u> |
| Indirect Charges | 19,092 |
| Total | <u>\$2,122,696</u> |
| Total Approved Assistance Amount | \$1,955,061 |
| State Match | \$ 167,635* |

*This amount is less than 10% of the total RA cost because a credit of \$44,906 was previously applied to the State's share of RA costs by Amendment V005794-01-10, Special Condition 51.d. Of the \$111,326 total advance match contributed during RI/FS, \$66,420 remains available as credit to be applied to the State share of future RA activities.

Special Condition cont.
V005794-01-30
Page 3b of 3

The South Andover source RI/FS Support Agency Services Project is established with the following budget/project period:

| | |
|----------------|---------------------------------------|
| Budget Period | February 1, 1990 - September 30, 1991 |
| Project Period | February 1, 1990 - September 30, 1991 |

The Adrian RI/FS Support Agency Services Project is established with the following budget/project period:

| | |
|----------------|--------------------------------------|
| Budget Period | February 1, 1990 - December 31, 1990 |
| Project Period | February 1, 1990 - December 31, 1990 |

The Long Prairie RD budget/project period is revised as follows:

| | |
|----------------|--|
| Budget Period | September 19, 1988 - December 31, 1990 |
| Project Period | September 19, 1988 - December 31, 1990 |

The Arrowhead RD Support Agency Services Project is extended as follows:

| | |
|----------------|------------------------------|
| Budget Period | June 1, 1987 - July 31, 1990 |
| Project Period | June 1, 1987 - July 31, 1990 |

The Arrowhead State-lead RD is extended as follows:

| | |
|----------------|----------------------------------|
| Budget Period | October 1, 1988 - March 31, 1991 |
| Project Period | October 1, 1988 - March 31, 1991 |

The Union Scrap State-lead RI/FS is extended as follows:

| | |
|----------------|---------------------------------|
| Budget Period | March 30, 1987 - April 30, 1990 |
| Project Period | March 30, 1987 - April 30, 1990 |

The Kummer RI/FS project is extended as follows:

| | |
|----------------|-----------------------------------|
| Budget Period | September 1984 - October 31, 1990 |
| Project Period | September 1984 - October 31, 1990 |

The Kummer RA project for the Northern Township operable unit is extended as follows:

| | |
|----------------|------------------------------|
| Budget Period | July 5, 1985 - July 31, 1991 |
| Project Period | July 5, 1985 - July 31, 1991 |

GRANTEE:

PLEASE NOTE

THE ATTACHED

NEW SPECIAL

CONDITION ON

THE NEW

ANTI - LOBBYING

ACT

PART III - AWARD CONDITIONS

By accepting this award, the recipient certifies that it will comply with the new Anti-Lobbying Act, Public Law 101-121, Section 319, and the OMB Interim Final Guidance, the "Governmentwide Guidance for New Restrictions on Lobbying", dated December 18, 1989.

Therefore, the recipient, by accepting this award, certifies to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352
(See reverse for public burden disclosure.)

Approved by OMB
0348-0046

| | | |
|---|---|---|
| 1. Type of Federal Action: <input type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance | 2. Status of Federal Action: <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award | 3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____ |
| 4. Name and Address of Reporting Entity: <input type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: Congressional District, if known: _____ | | 5. If Reporting Entity in No. 4 is Subawardee, Enter Name and Address of Prime: Congressional District, if known: _____ |
| 6. Federal Department/Agency: _____ | 7. Federal Program Name/Description: CFDA Number, if applicable: _____ | |
| 8. Federal Action Number, if known: _____ | 9. Award Amount, if known: \$ _____ | |
| 10. a. Name and Address of Lobbying Entity (if individual, last name, first name, MI): _____ | | b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI): _____ |
| (attach Continuation Sheet(s) SF-LLL-A, if necessary) | | |
| 11. Amount of Payment (check all that apply): \$ _____ <input type="checkbox"/> actual <input type="checkbox"/> planned | 13. Type of Payment (check all that apply): <input type="checkbox"/> a. retainer <input type="checkbox"/> b. one-time fee <input type="checkbox"/> c. commission <input type="checkbox"/> d. contingent fee <input type="checkbox"/> e. deferred <input type="checkbox"/> f. other; specify: _____ | |
| 12. Form of Payment (check all that apply): <input type="checkbox"/> a. cash <input type="checkbox"/> b. in-kind; specify: nature _____ value _____ | | |
| 14. Brief Description of Services Performed or to be Performed and Date(s) of Service, including officer(s), employee(s), or Member(s) contacted, for Payment Indicated in Item 11: _____ _____ _____ | | |
| (attach Continuation Sheet(s) SF-LLL-A, if necessary) | | |
| 15. Continuation Sheet(s) SF-LLL-A attached: <input type="checkbox"/> Yes <input type="checkbox"/> No | | |
| 16. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure. | Signature: _____ Print Name: _____ Title: _____ Telephone No.: _____ Date: _____ | |
| <div style="display: flex; justify-content: space-between;"> Federal Use Only: Authorized for Local Reproduction Standard Form - 111 </div> | | |

INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Use the SF-LLL-A Continuation Sheet for additional information if the space on the form is inadequate. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, state and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee", then enter the full name, address, city, state and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, state and zip code of the lobbying entity engaged by the reporting entity identified in item 4 to influence the covered Federal action.
(b) Enter the full names of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
11. Enter the amount of compensation paid or reasonably expected to be paid by the reporting entity (item 4) to the lobbying entity (item 10). Indicate whether the payment has been made (actual) or will be made (planned). Check all boxes that apply. If this is a material change report, enter the cumulative amount of payment made or planned to be made.
12. Check the appropriate box(es). Check all boxes that apply. If payment is made through an in-kind contribution, specify the nature and value of the in-kind payment.
13. Check the appropriate box(es). Check all boxes that apply. If other, specify nature.
14. Provide a specific and detailed description of the services that the lobbyist has performed, or will be expected to perform, and the date(s) of any services rendered. Include all preparatory and related activity, not just time spent in actual contact with Federal officials. Identify the Federal official(s) or employee(s) contacted or the officer(s), employee(s), or Member(s) of Congress that were contacted.
15. Check whether or not a SF-LLL-A Continuation Sheet(s) is attached.
16. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, D.C. 20503.

b. SPECIAL CONDITIONS (Continued)

PART IV

NOTE: The Agreement must be completed in duplicate and the Original returned to the Grants Administration Division for Headquarters awards and to the appropriate Grants Administrations Office for State and local awards within 3 calendar weeks after receipt or within any extension of time as may be granted by EPA.

Receipt of a written refusal or failure to return the properly executed document within the prescribed time, may result in the withdrawal of the offer by the Agency. Any change to the Agreement by the recipient subsequent to the document being signed by the EPA Award Official which the Award Official determines to materially alter the Agreement shall void the Agreement.

OFFER AND ACCEPTANCE

The United States of America, acting by and through the U.S. Environmental Protection Agency (EPA), hereby offers assistance/amendment to the Minnesota Pollution Control Agency

RI/FS, RD 100%; RA 90%

for 100% of all approved costs incurred up to and not exceeding \$ 12,736,076

ASSISTANCE AMOUNT

for the support of approved budget period effort described in application (including all application modifications)

MPCA's applications dated December 28, 1989 and January 3, 30, and included herein by reference.

ISSUING OFFICE (Grants Administration Office)

AWARD APPROVAL OFFICE

ORGANIZATION/ADDRESS

Contracts and Grants Branch (5MCG)
U.S. Environmental Protection Agency
230 South Dearborn Street
Chicago, Illinois 60604

ORGANIZATION/ADDRESS

Waste Management Division (5HSM)
U.S. Environmental Protection Agency
230 South Dearborn Street
Chicago, Illinois 60604

THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY

SIGNATURE OF AWARD OFFICIAL

TYPED NAME AND TITLE

Valdas V. Adamkus, Regional Administrator

DATE

MAR 26 1990

This Agreement is subject to applicable U.S. Environmental Protection Agency statutory provisions and assistance regulations. In accepting this award or amendment and any payments made pursuant thereto, (1) the undersigned represents that he is duly authorized to act on behalf of the recipient organization, and (2) the recipient agrees (a) that the award is subject to the applicable provisions of 40 CFR Chapter I, Subchapter B and of the provisions of this agreement (Parts I thru IV), and (b) that acceptance of any payments constitutes an agreement by the payee that the amounts, if any found by EPA to have been overpaid will be refunded or credited in full to EPA.

BY AND ON BEHALF OF THE DESIGNATED RECIPIENT ORGANIZATION

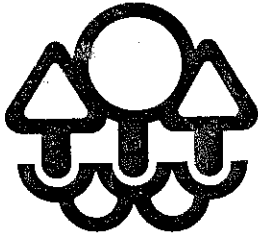
SIGNATURE

TYPED NAME AND TITLE

Barbara Lindsey Sims Deputy Commissioner

DATE

4-11-90



Minnesota Pollution Control Agency

520 Lafayette Road, Saint Paul, Minnesota 55155

Telephone (612) 296-6300



April 18, 1990

Mr. Valdas Adamkus
Regional Administrator
U.S. Environmental Protection Agency
230 South Dearborn Street
Chicago, Illinois 60604

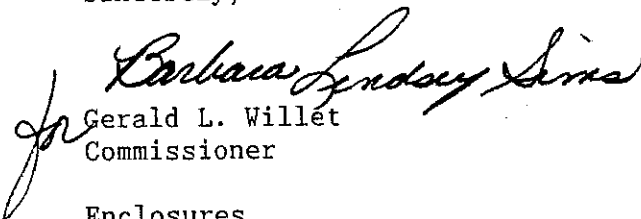
Dear Mr. Adamkus:

RE: Multi-Site Cooperative Agreement V005794-01-31; Ritari Post and Pole Site Remedial Investigation/Feasibility Study

I am pleased to accept the above referenced amendment. The receipt of an additional \$200,000 will allow the Minnesota Pollution Control Agency (MPCA) to conduct the Ritari Post and Pole site Remedial Investigation/Feasibility Study (RI/FS). We appreciate your staff's efforts in securing these additional funds. Enclosed are one original and two photocopies of the executed document.

Thank you for your staff's assistance in executing this award. I look forward to the continued success of the Superfund Program in Minnesota.

Sincerely,


Gerald L. Willet
Commissioner

Enclosures

GLW:cd

cc: Lois Betka, U.S. Environmental Protection Agency

| | | | | | | | | | | | | | | | | | | | |
|--|---|--|--|---|---|--|---------------------------------------|--|-------------------------|---------------------|-------------|-------------------------------------|---|--|----------------------|--|---|------------------|--|
| U.S. ENVIRONMENTAL PROTECTION AGENCY EPA ASSISTANCE AGREEMENT/AMENDMENT PART I - ASSISTANCE NOTIFICATION INFORMATION | | | | | 1. ASSISTANCE ID NO. VL 794-01-31 | | 2. LOG NUMBER | | | | | | | | | | | | |
| | | | | | 3. DATE OF AWARD MAR 26 1990 | | 4. MAILING DATE APR 02 1990 | | | | | | | | | | | | |
| 5. AGREEMENT TYPE <input type="checkbox"/> Cooperative Agreement <input type="checkbox"/> Grant Agreement <input checked="" type="checkbox"/> Assistance Amendment | | | | 6. PAYMENT METHOD <input type="checkbox"/> Advance <input type="checkbox"/> Reimbursement <input checked="" type="checkbox"/> Letter of Credit | | | | | | | | | | | | | | | |
| | | | | Send Payment Request To: | | 7. TYPE OF ACTION Augmentation/Increase | | | | | | | | | | | | | |
| RECIPIENT ORGANIZATION | 8. RECIPIENT Minnesota Pollution Control Agency 520 Lafayette Road St. Paul, Minnesota 55155 | | | | 9. PAYEE Same as Block 8 | | | | | | | | | | | | | | |
| | EIN NO. 41-6007162 | | CONGRESSIONAL DISTRICT Statewide | | 10. RECIPIENT TYPE State | | | | | | | | | | | | | | |
| | 11. PROJECT MANAGER AND TELEPHONE NO. James Warner (612) 296-7333 (Same address as Block 8) | | | | 12. CONSULTANT (WWT Construction Grants Only) N/A | | | | | | | | | | | | | | |
| EPA CONTACT | 13. ISSUING OFFICE (City/State) Chicago, Illinois | | | | 14. EPA PROJECT/STATE OFFICER AND TELEPHONE NO. Lois Betka (5HSM) (312) 886-6399 State Relations Unit, SPMB U.S. Environmental Protection Agency 230 South Dearborn Street Chicago, Illinois 60604 | | | | | | | | | | | | | | |
| | 15. EPA CONGRESSIONAL LIAISON & TEL. NO. Pat Gaskins (202) 382-5184 | | 16. STATE APPL ID (Clearinghouse) | | 17. FIELD OF SCIENCE N/A | | 18. PROJECT STEP (WWT CG Only) N/A | | | | | | | | | | | | |
| | 19. STATUTORY AUTHORITY P.L. 96-510 | | 20. REGULATORY AUTHORITY 40 CFR Parts 29, 30, 32, 33 and 35 | | 21. STEP 2 + 3 & STEP 3 (WWT Construction Only) <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td>a. Treatment Level</td> <td></td> <td></td> </tr> <tr> <td>b. Project Type</td> <td>N</td> <td></td> </tr> <tr> <td>c. Treatment Process</td> <td></td> <td>A</td> </tr> <tr> <td>d. Sludge Design</td> <td></td> <td></td> </tr> </table> | | | | a. Treatment Level | | | b. Project Type | N | | c. Treatment Process | | A | d. Sludge Design | |
| a. Treatment Level | | | | | | | | | | | | | | | | | | | |
| b. Project Type | N | | | | | | | | | | | | | | | | | | |
| c. Treatment Process | | A | | | | | | | | | | | | | | | | | |
| d. Sludge Design | | | | | | | | | | | | | | | | | | | |
| 22. PROJECT TITLE AND DESCRIPTION Funding increase for the Ritari Remedial Investigation/Feasibility Study | | | | | | | | | | | | | | | | | | | |
| 23. PROJECT LOCATION (Areas Impacted by Project) <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td>City/Place Statewide</td> <td>County Statewide</td> <td>State MN</td> <td>Congressional District Statewide</td> </tr> </table> | | | | | | | | | City/Place Statewide | County Statewide | State MN | Congressional District Statewide | | | | | | | |
| City/Place Statewide | County Statewide | State MN | Congressional District Statewide | | | | | | | | | | | | | | | | |
| 24. ASSISTANCE PROGRAM (CFDA Program No. & Title) 66.802 Superfund | | 25. PROJECT PERIOD 10/01/84 - 09/30/91 | | 26. BUDGET PERIOD 10/01/84 - 09/30/91 | | | | | | | | | | | | | | | |
| 27. COMMUNITY POPULATION (WWT CG Only) N/A | | 28. TOTAL BUDGET PERIOD COST \$13,415,675 | | 29. TOTAL PROJECT PERIOD COST \$13,415,675 | | | | | | | | | | | | | | | |
| FUNDS | | FORMER AWARD | | THIS ACTION | | AMENDED TOTAL | | | | | | | | | | | | | |
| 30. EPA Amount This Action | | \$12,736,076 | | \$200,000 | | \$12,936,076 | | | | | | | | | | | | | |
| 31. EPA In-Kind Amount | | | | | | | | | | | | | | | | | | | |
| 32. Unexpended Prior Year Balance | | | | | | | | | | | | | | | | | | | |
| 33. Other Federal Funds | | | | | | | | | | | | | | | | | | | |
| 34. Recipient Contribution | | | | | | | | | | | | | | | | | | | |
| 35. State Contribution | | 479,599 | | -0- | | 479,599 | | | | | | | | | | | | | |
| 36. Local Contribution | | | | | | | | | | | | | | | | | | | |
| 37. Other Contribution | | | | | | | | | | | | | | | | | | | |
| 38. Allowable Project Cost | | \$13,215,675 | | \$200,000 | | \$13,415,675 | | | | | | | | | | | | | |
| 39. FISCAL | Program Element | FY | Appropriation | Doc. Control No. | Account Number | Object Class | Obligation/Deoblig. Amount | | | | | | | | | | | | |
| | TFAY9A | 90 | 6820X8145 | 7FCC30 | OTFASAFCTG | Y185 | 200,000 | | | | | | | | | | | | |

| TABLE A - OBJECT CLASS CATEGORY (Non-construction) | TOTAL APPROVED ALLOWABLE BUDGET PERIOD COST |
|---|--|
| 1. PERSONNEL | \$ 987,895 |
| 2. FRINGE BENEFITS | 177,681 |
| 3. TRAVEL | 76,251 |
| 4. EQUIPMENT | 5,420 |
| 5. SUPPLIES | 7,720 |
| 6. CONTRACTUAL | 8,444,264 |
| 7. CONSTRUCTION | 3,257,015 |
| 8. OTHER | 35,738 |
| 9. TOTAL DIRECT CHARGES | 12,991,984 |
| 10. INDIRECT COSTS: RATE % BASE | 423,691 |
| 11. TOTAL (Share: Recipient _____% Federal _____%) | \$13,415,675 |
| 12. TOTAL APPROVED ASSISTANCE AMOUNT | \$ 12,936,076 |

| TABLE B - PROGRAM ELEMENT CLASSIFICATION (Non-construction) | |
|--|----|
| 1. | |
| 2. | |
| 3. | |
| 4. | |
| 5. | |
| 6. | |
| 7. | |
| 8. | |
| 9. | |
| 10. | |
| 11. | |
| 12. TOTAL (Share: Recipient _____% Federal _____%) | |
| 13. TOTAL APPROVED ASSISTANCE AMOUNT | \$ |

| TABLE C - PROGRAM ELEMENT CLASSIFICATION (Construction) | |
|--|----|
| 1. ADMINISTRATION EXPENSE | |
| 2. PRELIMINARY EXPENSE | |
| 3. LAND STRUCTURES, RIGHT-OF-WAY | |
| 4. ARCHITECTURAL ENGINEERING BASIC FEES | |
| 5. OTHER ARCHITECTURAL ENGINEERING FEES | |
| 6. PROJECT INSPECTION FEES | |
| 7. LAND DEVELOPMENT | |
| 8. RELOCATION EXPENSES | |
| 9. RELOCATION PAYMENTS TO INDIVIDUALS AND BUSINESSES | |
| 10. DEMOLITION AND REMOVAL | |
| 11. CONSTRUCTION AND PROJECT IMPROVEMENT | |
| 12. EQUIPMENT | |
| 13. MISCELLANEOUS | |
| 14. TOTAL (Lines 1 thru 13) | |
| 15. ESTIMATED INCOME (If applicable) | |
| 16. NET PROJECT AMOUNT (Line 14 minus 15) | |
| 17. LESS: INELIGIBLE EXCLUSIONS | |
| 18. ADD: CONTINGENCIES | |
| 19. TOTAL (Share: Recipient _____% Federal _____%) | |
| 20. TOTAL APPROVED ASSISTANCE AMOUNT | \$ |

GRANTEE:

PLEASE NOTE

THE ATTACHED

NEW SPECIAL

CONDITION ON

THE NEW

ANTI - LOBBYING

ACT

DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB
0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352
(See reverse for public burden disclosure.)

| | | |
|---|---|---|
| 1. Type of Federal Action: <input type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance | 2. Status of Federal Action: <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award | 3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____ |
| 4. Name and Address of Reporting Entity: <input type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: Congressional District, if known: _____ | 5. If Reporting Entity in No. 4 is Subawardee, Enter Name and Address of Prime: Congressional District, if known: _____ | |
| 6. Federal Department/Agency: | 7. Federal Program Name/Description: CFDA Number, if applicable: _____ | |
| 8. Federal Action Number, if known: | 9. Award Amount, if known: \$ _____ | |
| 10. a. Name and Address of Lobbying Entity (if individual, last name, first name, MI): _____ (attach Continuation Sheet(s) SF-LLL-A, if necessary) | b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI): _____ | |
| 11. Amount of Payment (check all that apply): \$ _____ <input type="checkbox"/> actual <input type="checkbox"/> planned | 13. Type of Payment (check all that apply): <input type="checkbox"/> a. retainer <input type="checkbox"/> b. one-time fee <input type="checkbox"/> c. commission <input type="checkbox"/> d. contingent fee <input type="checkbox"/> e. deferred <input type="checkbox"/> f. other; specify: _____ | |
| 12. Form of Payment (check all that apply): <input type="checkbox"/> a. cash <input type="checkbox"/> b. in-kind; specify: nature _____ value _____ | 14. Brief Description of Services Performed or to be Performed and Date(s) of Service, including officer(s), employee(s), or Member(s) contacted, for Payment Indicated in Item 11: (attach Continuation Sheet(s) SF-LLL-A, if necessary) | |
| 15. Continuation Sheet(s) SF-LLL-A attached: <input type="checkbox"/> Yes <input type="checkbox"/> No | | |
| 16. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure. | Signature: _____ Print Name: _____ Title: _____ Telephone No.: _____ Date: _____ | |
| Federal Use Only: | | Authorized for Local Reproduction Standard Form - LLL |

b. SPECIAL CONDITIONS (Continued)

This amendment increases the funding for the Ritari RI/FS by \$200,000. The revised site budget is as follows:

| Category | Ritari RI/FS |
|----------------------------------|--------------|
| Personnel | \$ 34,916 |
| Fringe Benefits | 6,285 |
| Travel | 1,882 |
| Equipment | 200 |
| Supplies | 310 |
| Contractual | 475,000 |
| Construction | -0- |
| Other | 340 |
| Total Direct Charges | \$518,933 |
| Indirect Charges | 15,072 |
| Total | \$534,005 |
| Total Approved Assistance Amount | \$534,005 |

PART IV

NOTE: The Agreement must be completed in duplicate and the Original returned to the Grants Administration Division for Headquarters awards and to the appropriate Grants Administrations Office for State and local awards within 3 calendar weeks after receipt or within any extension of time as may be granted by EPA.

Receipt of a written refusal or failure to return the properly executed document within the prescribed time, may result in the withdrawal of the offer by the Agency. Any change to the Agreement by the recipient subsequent to the document being signed by the EPA Award Official which the Award Official determines to materially alter the Agreement shall void the Agreement.

OFFER AND ACCEPTANCE

The United States of America, acting by and through the U.S. Environmental Protection Agency (EPA), hereby offers assistance/amendment to the Minnesota Pollution Control Agency

for 100 % of all approved costs incurred up to and not exceeding \$12,936,076

for the support of approved budget period effort described in application (including all application modifications)

MSCA Amendment for Ritari dated February 27, 1990 included herein by reference.

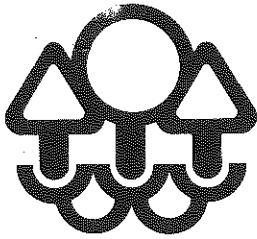
| ISSUING OFFICE (Grants Administration Office) | AWARD APPROVAL OFFICE |
|--|--|
| ORGANIZATION/ADDRESS Contracts and Grants Branch (5MCG) U.S. Environmental Protection Agency 230 South Dearborn Street Chicago, Illinois 60604 | ORGANIZATION/ADDRESS Waste Management Division (5HSM) U.S. Environmental Protection Agency 230 South Dearborn Street Chicago, Illinois 60604 |

| THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY | | |
|--|---|---------------------|
| SIGNATURE OF AWARD OFFICIAL <i>Valdas V. Adamkus</i> | TYPED NAME AND TITLE Valdas V. Adamkus, Regional Administrator | DATE MAR 26 1990 |

This Agreement is subject to applicable U.S. Environmental Protection Agency statutory provisions and assistance regulations. In accepting this award or amendment and any payments made pursuant thereto, (1) the undersigned represents that he is duly authorized to act on behalf of the recipient organization, and (2) the recipient agrees (a) that the award is subject to the applicable provisions of 40 CFR Chapter I, Subchapter B and of the provisions of this agreement (Parts I thru IV), and (b) that acceptance of any payments constitutes an agreement by the payee that the amounts, if any found by EPA to have been overpaid will be refunded or credited in full to EPA.

BY AND ON BEHALF OF THE DESIGNATED RECIPIENT ORGANIZATION

| | | |
|--|--|-----------------|
| SIGNATURE <i>Barbara Lindsey Sims</i> | TYPED NAME AND TITLE Barbara Lindsey Sims Deputy Commissioner | DATE 4-11-90 |
|--|--|-----------------|



Minnesota Pollution Control Agency

520 Lafayette Road, Saint Paul, Minnesota 55155

Telephone (612) 296-6300



April 18, 1990

Mr. Valdas Adamkus
Regional Administrator
U.S. Environmental Protection Agency
230 South Dearborn Street
Chicago, Illinois 60604

Dear Mr. Adamkus:

RE: Multi-Site Cooperative Agreement V005794-01-32; Kummer Sanitary Landfill
Remedial Action

I am pleased to accept the above referenced amendment. The receipt of \$9,240,211 will allow the Minnesota Pollution Control Agency (MPCA) to move forward with the remedial action at the Kummer Sanitary Landfill site. We appreciate your staff's efforts in securing these funds. Enclosed are one original and two photocopies of the executed document.

Thank you for your staff's assistance in executing this award. I look forward to the continued success of the Superfund Program in Minnesota.

Sincerely,

for Barbara Lindsey Sme
Gerald L. Willet
Commissioner

Enclosures

GLW:cd

cc: Lois Betka, U.S. Environmental Protection Agency

RECEIVED
APR 25 1990
GRANTS MANAGEMENT SECTION
REGION V

| | | | | | | | | |
|---|--|--------------|---|---|---|---------------|--|--|
| U.S. ENVIRONMENTAL PROTECTION AGENCY EPA ASSISTANCE AGREEMENT/AMENDMENT PART I - ASSISTANCE NOTIFICATION INFORMATION | | | | | 1. ASSISTANCE ID NO. V005794-01-32 | | 2. LOG NUMBER | |
| | | | | | 3. DATE OF AWARD MAR 28 1990 | | 4. MAILING DATE APR 04 1990 | |
| 5. AGREEMENT TYPE <input type="checkbox"/> Cooperative Agreement <input type="checkbox"/> Grant Agreement <input checked="" type="checkbox"/> Assistance Amendment | | | | 6. PAYMENT METHOD <input type="checkbox"/> Advance <input type="checkbox"/> Reimbursement <input checked="" type="checkbox"/> Letter of Credit 68-13-0502 Send Payment Request To: | | | | |
| 8. RECIPIENT Minnesota Pollution Control Agency 520 Lafayette Road St. Paul, Minnesota 55155 | | | | 9. PAYEE Same as Block 8 | | | | |
| RECIPIENT ORGANIZATION | EIN NO. 41-6007162 | | CONGRESSIONAL DISTRICT Statewide | | 10. RECIPIENT TYPE State | | | |
| | 11. PROJECT MANAGER AND TELEPHONE NO. Jim Warner (612) 296-7333 (Same address as Block 8) | | | | 12. CONSULTANT (WWT Construction Grants Only) N/A | | | |
| EPA CONTACT | 13. ISSUING OFFICE (City/State) Chicago, Illinois | | | | 14. EPA PROJECT/STATE OFFICER AND TELEPHONE NO. Lois Betka (5HSM) (312) 886-6399 State Relations Unit, SPMB U.S. Environmental Protection Agency 230 South Dearborn Street Chicago, Illinois 60604 | | | |
| | 15. EPA CONGRESSIONAL LIAISON & TEL. NO. Pat Gaskins (202) 382-5184 | | 16. STATE APPL ID (Clearinghouse) | | 17. FIELD OF SCIENCE N/A | | 18. PROJECT STEP (WWT CG Only) N/A | |
| 19. STATUTORY AUTHORITY P.L. 96-510 | | | 20. REGULATORY AUTHORITY 40 CFR Parts 29, 30, 32, 33 and 35 | | 21. STEP 2 + 3 & STEP 3 (WWT Construction Only) | | | |
| | | | | | a. Treatment Level | | N | |
| | | | | | b. Project Type | | A | |
| | | | | | c. Treatment Process | | | |
| | | | | | d. Sludge Design | | | |
| 22. PROJECT TITLE AND DESCRIPTION New funding for the Kummer Landfill operable unit #2 Remedial Action. | | | | | | | | |
| 23. PROJECT LOCATION (Areas Impacted by Project) | | | | | | | | |
| City/Place Statewide | | | County Statewide | | State MI | | Congressional District Statewide | |
| 24. ASSISTANCE PROGRAM (CFDA Program No. & Title) 66.802 Superfund | | | 25. PROJECT PERIOD 10/01/84 - 03/31/92 | | 26. BUDGET PERIOD 10/01/84 - 03/31/92 | | | |
| 27. COMMUNITY POPULATION (WWT CG Only) N/A | | | 28. TOTAL BUDGET PERIOD COST \$23,608,776 | | 29. TOTAL PROJECT PERIOD COST \$23,608,776 | | | |
| FUNDS | | FORMER AWARD | | THIS ACTION | | AMENDED TOTAL | | |
| 30. EPA Amount This Action | | \$12,936,076 | | \$9,240,211 | | \$22,176,287 | | |
| 31. EPA In-Kind Amount | | | | | | | | |
| 32. Unexpended Prior Year Balance | | | | | | | | |
| 33. Other Federal Funds | | | | | | | | |
| 34. Recipient Contribution | | | | | | | | |
| 35. State Contribution | | 479,599 | | 952,890 | | 1,432,489 | | |
| 36. Local Contribution | | | | | | | | |
| 37. Other Contribution | | | | | | | | |
| 38. Allowable Project Cost | | \$13,415,675 | | \$10,193,101 | | \$23,608,776 | | |
| 39. FISCAL | Program Element | FY | Appropriation | Doc. Control No. | Account Number | Object Class | Obligation/Deoblig. Amount | |
| | TFAY9A | 90 | 68 20X8145 | SE0049 SC0007 | OTFA05FRR8 OTFA5AFRR8 | 4185 | \$9000.00 \$9,231,211.00 | |

TABLE A - OBJECT CLASS CATEGORY
(Non-construction)TOTAL APPROVED ALLOWABLE
BUDGET PERIOD COST

| | |
|--|--------------|
| 1. PERSONNEL | \$ 1,077,437 |
| 2. FRINGE BENEFITS | 193,799 |
| 3. TRAVEL | 111,657 |
| 4. EQUIPMENT | 5,420 |
| 5. SUPPLIES | 21,720 |
| 6. CONTRACTUAL | 9,243,970 |
| 7. CONSTRUCTION | 12,450,250 |
| 8. OTHER | 41,738 |
| 9. TOTAL DIRECT CHARGES | 23,145,991 |
| 10. INDIRECT COSTS: RATE % BASE | 462,785 |
| 11. TOTAL (Share: Recipient _____% Federal _____%) | \$23,608,776 |

12. TOTAL APPROVED ASSISTANCE AMOUNT

\$ 22,176,287

TABLE B - PROGRAM ELEMENT CLASSIFICATION
(Non-construction)

| | |
|--|--|
| 1. | |
| 2. | |
| 3. | |
| 4. | |
| 5. | |
| 6. | |
| 7. | |
| 8. | |
| 9. | |
| 10. | |
| 11. | |
| 12. TOTAL (Share: Recipient _____% Federal _____%) | |

13. TOTAL APPROVED ASSISTANCE AMOUNT

\$

TABLE C - PROGRAM ELEMENT CLASSIFICATION
(Construction)

| | |
|--|--|
| 1. ADMINISTRATION EXPENSE | |
| 2. PRELIMINARY EXPENSE | |
| 3. LAND STRUCTURES, RIGHT-OF-WAY | |
| 4. ARCHITECTURAL ENGINEERING BASIC FEES | |
| 5. OTHER ARCHITECTURAL ENGINEERING FEES | |
| 6. PROJECT INSPECTION FEES | |
| 7. LAND DEVELOPMENT | |
| 8. RELOCATION EXPENSES | |
| 9. RELOCATION PAYMENTS TO INDIVIDUALS AND BUSINESSES | |
| 10. DEMOLITION AND REMOVAL | |
| 11. CONSTRUCTION AND PROJECT IMPROVEMENT | |
| 12. EQUIPMENT | |
| 13. MISCELLANEOUS | |
| 14. TOTAL (Lines 1 thru 13) | |
| 15. ESTIMATED INCOME (If applicable) | |
| 16. NET PROJECT AMOUNT (Line 14 minus 15) | |
| 17. LESS: INELIGIBLE EXCLUSIONS | |
| 18. ADD: CONTINGENCIES | |
| 19. TOTAL (Share: Recipient _____% Federal _____%) | |

20. TOTAL APPROVED ASSISTANCE AMOUNT

\$

PART III-AWARD CONDITIONS

a. GENERAL CONDITIONS

The recipient covenants and agrees that it will expeditiously initiate and timely complete the project work for which assistance has been awarded under this agreement, in accordance with all applicable provisions of 40 CFR Chapter I, Subpart B. The recipient warrants, represents, and agrees that it, and its contractors, subcontractors, employees and representatives, will comply with: (1) all applicable provisions of 40 CFR Chapter I, Subchapter B, INCLUDING BUT NOT LIMITED TO the provisions of Appendix A to 40 CFP Part 30, and (2) any special conditions set forth in this assistance agreement or any assistance amendment pursuant to 40 CFR 30.425.

b. SPECIAL CONDITIONS:

(For cooperative agreements include identification or summarization of EPA responsibilities that reflect or contribute to substantial involvement.)

The Kummer Remedial Action budget is as follows:

| <u>Category</u> | <u>Current Operable Unit #1</u> | <u>New Operable Unit #2</u> | <u>RA Total</u> |
|----------------------------|---|-------------------------------------|-----------------|
| Personnel | \$ 46,246 | \$ 89,542 | \$ 135,788 |
| Fringe Benefits | 8,324 | 16,118 | 24,442 |
| Travel | 2,600 | 35,406 | 38,006 |
| Equipment | -0- | -0- | -0- |
| Supplies | -0- | 14,000 | 14,000 |
| Contractual | 3,860 | 799,706 | 803,566 |
| Construction | 2,041,824 | 9,193,235 | 11,235,059 |
| Other | 750 | 6,000 | 6,750 |
| Total Direct Charges | \$2,103,604 | \$10,154,007 | \$12,257,611 |
| Indirect Costs | 19,092 | 39,094 | 58,186 |
| Total Project Cost | \$2,122,696 | \$10,193,101 | \$12,315,797 |
| Total Assistance Amount | \$1,955,061 | \$ 9,240,211 | \$11,195,272 |
| Total Non-Federal Share | \$ 167,635* | \$ 952,890* | \$ 1,120,525 |

*Minnesota contributed \$111,326 as State Advance Match for RI/FS activities at the Kummer site. Of this amount, \$44,906 was credited via Amendment V005794-01-10 toward the State share for Operable Unit #1 RA. This Amendment (V005794-01-32) credits the balance of available advance match, \$66,420, toward the State's 10% share of the cost of Operable Unit #2 RA.

This award of funds for the Operable Unit #2 Remedial Action at Kummer Landfill includes a bid contingency of \$1,199,118 (15% of the estimated cost of RA construction). Any amount of this bid contingency not needed, shall be applied toward the contingency for field change orders/claims which shall total 8% of the construction cost. Any additional amount left in the contingency may be deobligated upon agreement of the State and U.S. EPA.

GRANTEE:

PLEASE NOTE
THE ATTACHED
NEW SPECIAL
CONDITION ON
THE NEW
ANTI - LOBBYING
ACT

PART III - AWARD CONDITIONS

By accepting this award, the recipient certifies that it will comply with the new Anti-Lobbying Act, Public Law 101-121, Section 319, and the OMB Interim Final Guidance, the "Governmentwide Guidance for New Restrictions on Lobbying", dated December 18, 1989.

Therefore, the recipient, by accepting this award, certifies to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352
(See reverse for public burden disclosure.)

0348-0046

Federal Use Only:

Authorized for Local Reproduction
Standard Form - 111

INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Use the SF-LLL-A Continuation Sheet for additional information if the space on the form is inadequate. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, state and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee", then enter the full name, address, city, state and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, state and zip code of the lobbying entity engaged by the reporting entity identified in item 4 to influence the covered Federal action.
(b) Enter the full names of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
11. Enter the amount of compensation paid or reasonably expected to be paid by the reporting entity (item 4) to the lobbying entity (item 10). Indicate whether the payment has been made (actual) or will be made (planned). Check all boxes that apply. If this is a material change report, enter the cumulative amount of payment made or planned to be made.
12. Check the appropriate box(es). Check all boxes that apply. If payment is made through an in-kind contribution, specify the nature and value of the in-kind payment.
13. Check the appropriate box(es). Check all boxes that apply. If other, specify nature.
14. Provide a specific and detailed description of the services that the lobbyist has performed, or will be expected to perform, and the date(s) of any services rendered. Include all preparatory and related activity, not just time spent in actual contact with Federal officials. Identify the Federal official(s) or employee(s) contacted or the officer(s), employee(s), or Member(s) of Congress that were contacted.
15. Check whether or not a SF-LLL-A Continuation Sheet(s) is attached.
16. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, D.C. 20503.

b. SPECIAL CONDITIONS (Continued)

PART IV

NOTE: The Agreement must be completed in duplicate and the Original returned to the Grants Administration Division for Headquarters awards and to the appropriate Grants Administrations Office for State and local awards within 3 calendar weeks after receipt or within any extension of time as may be granted by EPA.

Receipt of a written refusal or failure to return the properly executed document within the prescribed time, may result in the withdrawal of the offer by the Agency. Any change to the Agreement by the recipient subsequent to the document being signed by the EPA Award Official which the Award Official determines to materially alter the Agreement shall void the Agreement.

OFFER AND ACCEPTANCE

The United States of America, acting by and through the U.S. Environmental Protection Agency (EPA), hereby offers assistance/amendment to the Minnesota Pollution Control Agency

for 100 % of all approved costs incurred up to and not exceeding \$ 22,176,287

for the support of approved budget period effort described in application (including all application modifications)

MPCA Amendment Application dated March 13, 1990 included herein by reference.

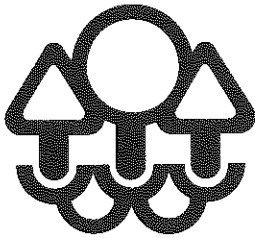
| ISSUING OFFICE (Grants Administration Office) | AWARD APPROVAL OFFICE |
|--|--|
| ORGANIZATION/ADDRESS Contracts and Grants Branch (5MCG) U.S. Environmental Protection Agency 230 South Dearborn Street Chicago, Illinois 60604 | ORGANIZATION/ADDRESS Waste Management Division (5HSM) U.S. Environmental Protection Agency 230 South Dearborn Street Chicago, Illinois 60604 |

| THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY | | |
|--|---|---------------------|
| SIGNATURE OF AWARD OFFICIAL <i>Valdas V. Adamkus</i> | TYPED NAME AND TITLE Valdas V. Adamkus, Regional Administrator | DATE MAR 28 1990 |

This Agreement is subject to applicable U.S. Environmental Protection Agency statutory provisions and assistance regulations. In accepting this award or amendment and any payments made pursuant thereto, (1) the undersigned represents that he is duly authorized to act on behalf of the recipient organization, and (2) the recipient agrees (a) that the award is subject to the applicable provisions of 40 CFR Chapter I, Subchapter B and of the provisions of this agreement (Parts I thru IV), and (b) that acceptance of any payments constitutes an agreement by the payee that the amounts, if any found by EPA to have been overpaid will be refunded or credited in full to EPA.

BY AND ON BEHALF OF THE DESIGNATED RECIPIENT ORGANIZATION

| | | |
|--|--|-----------------|
| SIGNATURE <i>Barbara Lindsey Sims</i> | TYPED NAME AND TITLE Barbara Lindsey Sims Deputy Commissioner | DATE 4-11-90 |
|--|--|-----------------|



Minnesota Pollution Control Agency

520 Lafayette Road, Saint Paul, Minnesota 55155

Telephone (612) 296-6300



September 4, 1990

Mr. Valdas Adamkus
Regional Administrator
U.S. Environmental Protection Agency
230 South Dearborn Street
Chicago, Illinois 60604

Dear Mr. Adamkus:

RE: Multi-Site ~~Superfund~~ ^{dh} Cooperative Agreement: V005794-01 33

I am pleased to accept the above referenced Cooperative Agreement. Receipt of \$200,000 will allow the Minnesota Pollution Control Agency (MPCA) to continue remedial action activities at the LeHillier/Mankato site. The transfer of \$129,000 from the Adrian remedial investigation/feasibility study (RI/FS) budget to the Ritari RI/FS budget will allow the MPCA to carry on RI/FS activities at the Ritari site. Approval of the rebudget request for the Oak Grove remedial design (RD) budget and the extension of the Oak Grove RD and the Kummer RD project/budget periods will allow the MPCA to complete RD activities at these sites.

We appreciate your staff's effort in securing these funds. Enclosed are one original and two copies of the executed document.

Thank you for your staff's assistance in executing this award. I look forward to the continued success of the Superfund Program in Minnesota.

Sincerely,

Gerald L. Willet
Commissioner

GLW:cd

Enclosures

cc: Lois Betka, U.S. Environmental Protection Agency

| | | | | | | | | |
|--|---|--------------|--|---------------------------|---|---|---------------------------------------|--|
| U.S. ENVIRONMENTAL PROTECTION AGENCY EPA ASSISTANCE AGREEMENT/AMENDMENT PART I - ASSISTANCE NOTIFICATION INFORMATION | | | | | 1. ASSISTANCE ID NO. V005/94-01-33 | | 2. LOG NUMBER | |
| | | | | | 3. DATE OF AWARD AUG 10 1990 | | 4. MAILING DATE AUG 17 1990 | |
| 5. AGREEMENT TYPE <input type="checkbox"/> Cooperative Agreement <input type="checkbox"/> Grant Agreement <input checked="" type="checkbox"/> Assistance Amendment | | | | | 6. PAYMENT METHOD <input type="checkbox"/> Advance <input type="checkbox"/> Reimbursement <input checked="" type="checkbox"/> Letter of Credit 68-13-0502 Send Payment Request To: | | | |
| RECIPIENT ORGANIZATION | 8. RECIPIENT Minnesota Pollution Control Agency 520 Lafayette Road St. Paul, Minnesota 55155 | | | | 9. PAYEE Same as Block 8 | | | |
| | EIN NO. 41-6007162 | | CONGRESSIONAL DISTRICT Statewide | | 10. RECIPIENT TYPE State | | | |
| | 11. PROJECT MANAGER AND TELEPHONE NO. James L. Warner (612) 296-7333 (Same address as Block 8) | | | | 12. CONSULTANT (WWT Construction Grants Only) N/A | | | |
| | 13. ISSUING OFFICE (City/State) Chicago, Illinois | | | | 14. EPA PROJECT/STATE OFFICER AND TELEPHONE NO. Lois Betka (5HSM) (312) 886-6399 State Relations Unit, SPMB U.S. Environmental Protection Agency 230 South Dearborn Street Chicago, Illinois 60604 | | | |
| EPA CONTACT | 15. EPA CONGRESSIONAL LIAISON & TEL. NO. Pat Gaskins (202) 382-5184 | | 16. STATE APPL ID (Clearinghouse) | | 17. FIELD OF SCIENCE N/A | | 18. PROJECT STEP (WWT CG Only) N/A | |
| | 19. STATUTORY AUTHORITY P.L. 96-510 | | 20. REGULATORY AUTHORITY 40 CFR Parts 29, 30, 32, 33 and 35 | | 21. STEP 2 + 3 & STEP 3 (WWT Construction Only) | | | |
| | | | | | a. Treatment Level | | | |
| | | | | | b. Project Type N | | | |
| | | | | c. Treatment Process A | | | | |
| | | | | d. Sludge Design | | | | |
| 22. PROJECT TITLE AND DESCRIPTION This Amendment transfers excess RI/FS funds from the Adrian site to Ritari for RI/FS. The project and budget periods for the Kummer RD are extended, LeHillier Extended Remedial Action is increased by \$200,000 and extended, and the Oak Grove RD is rebudgeted. | | | | | | | | |
| 23. PROJECT LOCATION (Areas Impacted by Project) | | | | | | | | |
| City/Place Statewide | | | County Statewide | | State MN | | Congressional District Statewide | |
| 24. ASSISTANCE PROGRAM (CFDA Program No. & Title) 66.802 Superfund | | | 25. PROJECT PERIOD 10/01/84 - 09/30/95 | | | 26. BUDGET PERIOD 10/01/84 - 09/30/95 | | |
| 27. COMMUNITY POPULATION (WWT CG Only) N/A | | | 28. TOTAL BUDGET PERIOD COST \$23,867,747 | | | 29. TOTAL PROJECT PERIOD COST \$23,867,747 | | |
| FUNDS | | FORMER AWARD | | THIS ACTION | | AMENDED TOTAL | | |
| 30. EPA Amount This Action | | \$22,176,287 | | \$200,000 | | \$22,376,287 | | |
| 31. EPA In-Kind Amount | | | | | | | | |
| 32. Unexpended Prior Year Balance | | | | | | | | |
| 33. Other Federal Funds | | | | | | | | |
| 34. Recipient Contribution | | | | | | | | |
| 35. State Contribution | | 1,432,489 | | 58,971 | | 1,491,460 | | |
| 36. Local Contribution | | | | | | | | |
| 37. Other Contribution | | | | | | | | |
| 38. Allowable Project Cost | | \$23,608,776 | | \$258,971 | | \$23,867,747 | | |
| 39. FISCAL | Program Element | FY | Appropriation | Doc. Control No. | Account Number | Object Class | Obligation/Deoblig. Amount | |
| | TFAY94 | 90 | 68-20X81X | SC 0011 | OTFA5AFR59 | 41.85 | 200,000 | |

39. FISCAL (continued)

| Site Name | Program Element | FY | Appropriation | Doc. Control Number | Account Number | Object Class | Obligation / Deobligation |
|--------------|-----------------|----|---------------|---------------------|----------------|--------------|---------------------------|
| Lehillier RA | 01) TFAY9A | 90 | 6820X8145 | SC0011 | 0TFA5AFR57 | 41.85 | 200,000 |
| Adrian RIFS | 02) TFA05F | 87 | 6820X8145 | 009172 | 7TFA05FLIH | 41.85 | (46,716) |
| Adrian RIFS | 03) QFA05F | 87 | 6820X8145 | 009171 | 7QTA05FLIH | 41.85 | (82,383) |
| Ritaci RIFS | 04) TFA05F | 87 | 6820X8145 | 009475 | 7TFA05FL7Q | 41.85 | 129,099 |

| TABLE A - OBJECT CLASS CATEGORY (Non-construction) | | TOTAL APPROVED ALLOWABLE BUDGET PERIOD COST |
|--|--|--|
| 1. PERSONNEL | | \$ 1,142,437 |
| 2. FRINGE BENEFITS | | 205,499 |
| 3. TRAVEL | | 116,977 |
| 4. EQUIPMENT | | 25,420 |
| 5. SUPPLIES | | 23,220 |
| 6. CONTRACTUAL | | 9,514,318 |
| 7. CONSTRUCTION | | 12,296,953 |
| 8. OTHER | | 54,738 |
| 9. TOTAL DIRECT CHARGES | | 23,379,562 |
| 10. INDIRECT COSTS: RATE % BASE | | 488,185 |
| 11. TOTAL (Share: Recipient _____ % Federal _____ %) | | \$23,867,747 |
| 12. TOTAL APPROVED ASSISTANCE AMOUNT | | \$ 22,376,287 |
| TABLE B - PROGRAM ELEMENT CLASSIFICATION (Non-construction) | | |
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |
| 6. | | |
| 7. | | |
| 8. | | |
| 9. | | |
| 10. | | |
| 11. | | |
| 12. TOTAL (Share: Recipient _____ % Federal _____ %) | | |
| 13. TOTAL APPROVED ASSISTANCE AMOUNT | | \$ |
| TABLE C - PROGRAM ELEMENT CLASSIFICATION (Construction) | | |
| 1. ADMINISTRATION EXPENSE | | |
| 2. PRELIMINARY EXPENSE | | |
| 3. LAND STRUCTURES, RIGHT-OF-WAY | | |
| 4. ARCHITECTURAL ENGINEERING BASIC FEES | | |
| 5. OTHER ARCHITECTURAL ENGINEERING FEES | | |
| 6. PROJECT INSPECTION FEES | | |
| 7. LAND DEVELOPMENT | | |
| 8. RELOCATION EXPENSES | | |
| 9. RELOCATION PAYMENTS TO INDIVIDUALS AND BUSINESSES | | |
| 10. DEMOLITION AND REMOVAL | | |
| 11. CONSTRUCTION AND PROJECT IMPROVEMENT | | |
| 12. EQUIPMENT | | |
| 13. MISCELLANEOUS | | |
| 14. TOTAL (Lines 1 thru 13) | | |
| 15. ESTIMATED INCOME (If applicable) | | |
| 16. NET PROJECT AMOUNT (Line 14 minus 15) | | |
| 17. LESS: INELIGIBLE EXCLUSIONS | | |
| 18. ADD: CONTINGENCIES | | |
| 19. TOTAL (Share: Recipient _____ % Federal _____ %) | | |
| 20. TOTAL APPROVED ASSISTANCE AMOUNT | | \$ |

PART III-AWARD CONDITIONS

a. GENERAL CONDITIONS

The recipient covenants and agrees that it will expeditiously initiate and timely complete the project work for which assistance has been awarded under this agreement, in accordance with all applicable provisions of 40 CFR Chapter I, Subpart B. The recipient warrants, represents, and agrees that it, and its contractors, subcontractors, employees and representatives, will comply with: (1) all applicable provisions of 40 CFR Chapter I, Subchapter B, INCLUDING BUT NOT LIMITED TO the provisions of Appendix A to 40 CFP Part 30, and (2) any special conditions set forth in this assistance agreement or any assistance amendment pursuant to 40 CFR 30.425.

b. SPECIAL CONDITIONS

(For cooperative agreements include identification or summarization of EPA responsibilities that reflect or contribute to substantial involvement.)

This amendment transfers \$46,716 from the Adrian RI/FS account number 7TFA05FL1H, document control number 009172 and \$82,284 from the Adrian RI/FS account number 7QFA05FL1H, document control number 009171, to Ritari RI/FS account number 7TFA05FL7Q, document control number 009475. The Adrian RI/FS Support Agency Services budget of \$8,000 remains as awarded March 26, 1990. The revised RI/FS budgets are as follows:

| <u>Category</u> | <u>Adrian RI/FS</u> | <u>Ritari RI/FS</u> |
|----------------------------------|---------------------|---------------------|
| Personnel | \$ 33,806 | \$ 52,916 |
| Fringe Benefits | 6,085 | 9,525 |
| Travel | 2,478 | 1,882 |
| Equipment | -0- | 200 |
| Supplies | -0- | 310 |
| Contractual | 349,400 | 575,000 |
| Construction | -0- | -0- |
| Other | 176 | 340 |
| Total Direct Charges | \$391,945 | \$640,173 |
| Indirect Charges | 14,480 | 22,931 |
| Total | \$406,425 | \$663,104 |
| Total Approved Assistance Amount | \$406,425 | \$663,104 |

The Oak Grove RD award is rebudgeted as follows, with no new funds:

| <u>Category</u> | <u>Oak Grove RD</u> |
|----------------------------------|---------------------|
| Personnel | \$ 27,681 |
| Fringe Benefits | 4,983 |
| Travel | 2,420 |
| Equipment | -0- |
| Supplies | -0- |
| Contractual | 210,699 |
| Construction | -0- |
| Other | -0- |
| Total Direct Charges | \$245,783 |
| Indirect Charges | 11,857 |
| Total | \$257,640 |
| Total Approved Assistance Amount | \$257,640 |

PART III-AWARD CONDITIONS

a. GENERAL CONDITIONS

The recipient covenants and agrees that it will expeditiously initiate and timely complete the project work for which assistance has been awarded under this agreement, in accordance with all applicable provisions of 40 CFR Chapter I, Subpart B. The recipient warrants, represents, and agrees that it, and its contractors, subcontractors, employees and representatives, will comply with: (1) all applicable provisions of 40 CFR Chapter I, Subchapter B, INCLUDING BUT NOT LIMITED TO the provisions of Appendix A to 40 CFP Part 30, and (2) any special conditions set forth in this assistance agreement or any assistance amendment pursuant to 40 CFR 30.425.

b. SPECIAL CONDITIONS

(For cooperative agreements include identification or summarization of EPA responsibilities that reflect or contribute to substantial involvement.)

The Remedial Action budget for the LeHillier site is increased by \$258,971 to cover up to 5 years of extended Remedial Action. The revised budget is as follows:

| <u>Category</u> | <u>LeHillier RA</u> |
|----------------------------------|---------------------|
| Personnel | \$ 93,370 |
| Fringe Benefits | 16,805 |
| Travel | 13,080 |
| Equipment | 20,000 |
| Supplies | 1,500 |
| Contractual | 679,816 |
| Construction | 1,056,946 |
| Other | 13,000 |
| Total Direct Charges | \$1,894,517 |
| Indirect Charges | 38,575 |
| Total | \$1,933,092 |
| Total Approved Assistance Amount | \$1,874,121* |
| State Contribution | \$ 58,971* |

*The State's required match is 10% of the total estimated cost of Remedial Action, or \$193,309. The State previously requested (see application dated March 8, 1988) that the State advance match provided during Remedial Design be applied toward the State's share of this RA. Actual expenditures of State funds for RD were \$134,338 as reported in this June 1990 amendment application, therefore the State contributes \$58,971 to equal a total of 10% of RA costs.

The project and budget periods for Extended RA at LeHillier are revised as follows:

| | <u>From</u> | <u>To</u> |
|----------------|------------------------------------|--|
| Project Period | August 8, 1989 - August 7, 1990 | August 8, 1989 - September 30, 1995 |
| Budget Period | August 8, 1989 - August 7, 1990 | August 8, 1989 - September 30, 1995 |

PART III - AWARD CONDITIONS

By accepting this award, the recipient agrees to comply with Section 129 of Public Law 100-590, the Small Business Administration Reauthorization and Amendment Act of 1988. Therefore, if the Recipient awards a contract under this assistance agreement, it will utilize the following affirmative steps relative to Small Businesses in Rural Areas (SBRAs).

- A) Placing SBRAs on solicitation lists;
- B) Ensuring that SBRAs are solicited whenever they are potential sources;
- C) Dividing total requirements when economically feasible, into small tasks or quantities to permit maximum participation by SBRAs;
- D) Establishing delivery schedules, where the requirements of work will permit, which would encourage participation by SBRAs;
- E) Using the services of the Small Business Administration and the Minority Business Development Agency of the U.S. Department of Commerce, as appropriate; and
- F) Requiring the contractor, if it awards subcontracts, to take the affirmative steps in subparagraphs a. through e. of this condition.

b. SPECIAL CONDITIONS (Continued)

The project and budget periods for Remedial Design of Kummer Operable Unit #2 (Landfill Cap) are revised pursuant to the State's January 3, 1990, application, as follows:

| | <u>From</u> | <u>To</u> |
|----------------|---------------------------------|------------------------------------|
| Project Period | October 1984 - June 30, 1990 | October 1984 - October 31, 1990 |
| Budget Period | October 1984 - June 30, 1990 | October 1984 - October 31, 1990 |

Pursuant to EPA Order 1000.25, dated January 24, 1990, the recipient agrees to use recycled paper for all reports which are prepared as a part of this agreement and delivered to the Agency. This requirement does not apply to reports which are prepared on forms supplied by EPA. This requirement applies even when the cost of recycled paper is higher than that of virgin paper.

PART IV

NOTE: The Agreement must be completed in duplicate and the Original returned to the Grants Administration Division for Headquarters awards and to the appropriate Grants Administrations Office for State and local awards within 3 calendar weeks after receipt or within any extension of time as may be granted by EPA.

Receipt of a written refusal or failure to return the properly executed document within the prescribed time, may result in the withdrawal of the offer by the Agency. Any change to the Agreement by the recipient subsequent to the document being signed by the EPA Award Official which the Award Official determines to materially alter the Agreement shall void the Agreement.

OFFER AND ACCEPTANCE

The United States of America, acting by and through the U.S. Environmental Protection Agency (EPA), hereby offers assistance/amendment to the Minnesota Pollution Control Agency

for 100 % of all approved costs incurred up to and not exceeding \$ 22,376,287

RECIPIENT ORGANIZATION
ASSISTANCE AMOUNT

for the support of approved budget period effort described in application (including all application modifications)

Amendment Applications dated January 3, May 11, and June 1990 included herein by reference.

DATE AND TYPE

ISSUING OFFICE (Grants Administration Office)

AWARD APPROVAL OFFICE

ORGANIZATION/ADDRESS

Contracts and Grants Branch (5MCG)
U.S. Environmental Protection Agency
230 South Dearborn Street
Chicago, Illinois 60604

ORGANIZATION/ADDRESS

Waste Management Division (5HSM)
U.S. Environmental Protection Agency
230 South Dearborn Street
Chicago, Illinois 60604

THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY

SIGNATURE OF AWARD OFFICIAL

TYPED NAME AND TITLE

DATE

[Signature] Valdas V. Adamkus, Regional Administrator

AUG 10 1990

This Agreement is subject to applicable U.S. Environmental Protection Agency statutory provisions and assistance regulations. In accepting this award or amendment and any payments made pursuant thereto, (1) the undersigned represents that he is duly authorized to act on behalf of the recipient organization, and (2) the recipient agrees (a) that the award is subject to the applicable provisions of 40 CFR Chapter I, Subchapter B and of the provisions of this agreement (Parts I thru IV), and (b) that acceptance of any payments constitutes an agreement by the payee that the amounts, if any found by EPA to have been overpaid will be refunded or credited in full to EPA.

BY AND ON BEHALF OF THE DESIGNATED RECIPIENT ORGANIZATION

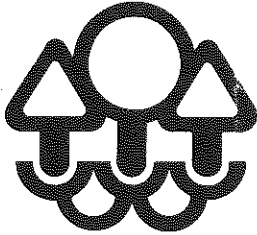
SIGNATURE

TYPED NAME AND TITLE

DATE

[Signature] Gerald L. Willet Commissioner

9/4/90



Minnesota Pollution Control Agency

520 Lafayette Road, Saint Paul, Minnesota 55155

Telephone (612) 296-6300



October 25, 1990

Mr. Valdas Adamkus
Regional Administrator
U.S. Environmental Protection Agency
230 South Dearborn Street
Chicago, Illinois 60604

RECEIVED
OCT 29 1990

SUPERFUND PROGRAM
MANAGEMENT BRANCH

Dear Mr. Adamkus:

RE: Multi-Site Cooperative Agreement: V005794-01-34

I am pleased to accept the above referenced cooperative agreement. The additional \$150,000 will allow the Minnesota Pollution Control Agency (MPCA) to proceed with treatability studies at the St. Louis River site. The approved rebudgeting of funds for the LaGrande, Macgillis, and Kummer projects and the project/budget period extensions for the LaGrande, MacGillis, and Oak Grove site projects will enable the MPCA to continue activities at these sites.

Enclosed are one original and two copies of the executed document. Thank you for your staff's assistance in executing this award. I look forward to the continued success of the Superfund Program in Minnesota.

Sincerely,

Barbara Lindsay Series

Gerald L. Willet
Commissioner

GLW:cd

Enclosures

cc: Lois Betka, U.S. Environmental Protection Agency

| | | | | | | | | | | | | | | | | | | | | |
|--|---|--------------|--|------------------|---|--|---------------------------------------|--|--------------------|--|--|-----------------|---|--|----------------------|--|---|------------------|--|--|
| U.S. ENVIRONMENTAL PROTECTION AGENCY EPA ASSISTANCE AGREEMENT/AMENDMENT PART I - ASSISTANCE NOTIFICATION INFORMATION | | | | | 1. ASSIS CE ID NO. V005794-01-34 | | 2. LOG NUMBER | | | | | | | | | | | | | |
| | | | | | 3. DATE OF AWARD SEP 28 1990 | | 4. MAILING DATE OCT 05 1990 | | | | | | | | | | | | | |
| 5. AGREEMENT TYPE Cooperative Agreement Grant Agreement Assistance Amendment <input checked="" type="checkbox"/> | | | 6. PAYMENT METHOD <input type="checkbox"/> Advance <input type="checkbox"/> Reimbursement <input checked="" type="checkbox"/> Letter of Credit 68-13-0502 Send Payment Request To: | | | | | | | | | | | | | | | | | |
| | | | 7. TYPE OF ACTION Augmentation/Increase/ Time Extensions | | | | | | | | | | | | | | | | | |
| RECIPIENT ORGANIZATION | 8. RECIPIENT Minnesota Pollution Control Agency 520 Lafayette Road St. Paul, Minnesota 55155 | | | | 9. PAYEE Same as Block 8 | | | | | | | | | | | | | | | |
| | EIN NO. 41-6007162 | | CONGRESSIONAL DISTRICT Statewide | | 10. RECIPIENT TYPE State | | | | | | | | | | | | | | | |
| | 11. PROJECT MANAGER AND TELEPHONE NO. James L. Warner (612) 296-7333 (Same address as Block 8) | | | | 12. CONSULTANT (WWT Construction Grants Only) N/A | | | | | | | | | | | | | | | |
| EPA CONTACT | 13. ISSUING OFFICE (City/State) Chicago, Illinois | | | | 14. EPA PROJECT/STATE OFFICER AND TELEPHONE NO. Lois Betka (5HSM) (312) 886-6399 State Relations Unit, SPMB U.S. Environmental Protection Agency 230 South Dearborn Street Chicago, Illinois 60604 | | | | | | | | | | | | | | | |
| | 15. EPA CONGRESSIONAL LIAISON & TEL. NO. Pat Gaskins (202) 382-5184 | | 16. STATE APPL ID (Clearinghouse) | | 17. FIELD OF SCIENCE N/A | | 18. PROJECT STEP (WWT CG Only) N/A | | | | | | | | | | | | | |
| 19. STATUTORY AUTHORITY P.L. 96-510 | | | 20. REGULATORY AUTHORITY 40 CFR Parts 29, 30, 32, 33 and 35 | | | 21. STEP 2 + 3 & STEP 3 (WWT Construction Only) <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td>a. Treatment Level</td> <td></td> <td></td> </tr> <tr> <td>b. Project Type</td> <td>N</td> <td></td> </tr> <tr> <td>c. Treatment Process</td> <td></td> <td>A</td> </tr> <tr> <td>d. Sludge Design</td> <td></td> <td></td> </tr> </table> | | | a. Treatment Level | | | b. Project Type | N | | c. Treatment Process | | A | d. Sludge Design | | |
| a. Treatment Level | | | | | | | | | | | | | | | | | | | | |
| b. Project Type | N | | | | | | | | | | | | | | | | | | | |
| c. Treatment Process | | A | | | | | | | | | | | | | | | | | | |
| d. Sludge Design | | | | | | | | | | | | | | | | | | | | |
| 22. PROJECT TITLE AND DESCRIPTION LaGrande RI/FS rebudgeting and extension; MacGillis RI/FS O.U. #2 rebudget, extension and addition of Support Agency Services; St. Louis River RI/FS rebudgeting and new funds for treatability study; Kummer RI/FS, RD and RA rebudgeting; Oak Grove RI/FS and RD extensions | | | | | | | | | | | | | | | | | | | | |
| 23. PROJECT LOCATION (Areas Impacted by Project) | | | | | | | | | | | | | | | | | | | | |
| City/Place Statewide | | | County Statewide | | State MN | | Congressional District Statewide | | | | | | | | | | | | | |
| 24. ASSISTANCE PROGRAM (CFDA Program No. & Title) 66.802 Superfund | | | 25. PROJECT PERIOD 10/01/84 - 09/30/95 | | | 26. BUDGET PERIOD 10/01/84 - 09/30/95 | | | | | | | | | | | | | | |
| 27. COMMUNITY POPULATION (WWT CG Only) N/A | | | 28. TOTAL BUDGET PERIOD COST \$24,017,747 | | | 29. TOTAL PROJECT PERIOD COST \$24,017,747 | | | | | | | | | | | | | | |
| FUNDS | | FORMER AWARD | | THIS ACTION | | AMENDED TOTAL | | | | | | | | | | | | | | |
| 30. EPA Amount This Action | | \$22,376,287 | | \$150,000 | | \$22,526,287 | | | | | | | | | | | | | | |
| 31. EPA In-Kind Amount | | | | | | | | | | | | | | | | | | | | |
| 32. Unexpended Prior Year Balance | | | | | | | | | | | | | | | | | | | | |
| 33. Other Federal Funds | | | | | | | | | | | | | | | | | | | | |
| 34. Recipient Contribution | | | | | | | | | | | | | | | | | | | | |
| 35. State Contribution | | 1,491,460 | | -0- | | 1,491,460 | | | | | | | | | | | | | | |
| 36. Local Contribution | | | | | | | | | | | | | | | | | | | | |
| 37. Other Contribution | | | | | | | | | | | | | | | | | | | | |
| 38. Allowable Project Cost | | \$23,867,747 | | \$150,000 | | \$24,017,747 | | | | | | | | | | | | | | |
| 39. FISCAL | Program Element | FY | Appropriation | Doc. Control No. | Account Number | Object Class | Obligation/Deoblig. Amount | | | | | | | | | | | | | |
| | TRAY9A | 90 | 682X8145 | DE0150 | OTFA05 FLW4 | 41FS | 150,000 | | | | | | | | | | | | | |

| TABLE A - OBJECT CLASS CATEGORY (Non-construction) | TOTAL APPROVED ALLOWABLE BUDGET PERIOD COST |
|--|--|
| 1. PERSONNEL | \$ 1,235,296 |
| 2. FRINGE BENEFITS | 222,215 |
| 3. TRAVEL | 119,493 |
| 4. EQUIPMENT | 25,420 |
| 5. SUPPLIES | 23,220 |
| 6. CONTRACTUAL | 9,527,642 |
| 7. CONSTRUCTION | 12,282,362 |
| 8. OTHER | 55,388 |
| 9. TOTAL DIRECT CHARGES | 23,491,036 |
| 10. INDIRECT COSTS: RATE % BASE | 526,711 |
| 11. TOTAL (Share: Recipient _____% Federal _____%) | \$24,017,747 |
| 12. TOTAL APPROVED ASSISTANCE AMOUNT | \$ 22,526,287 |
| TABLE B - PROGRAM ELEMENT CLASSIFICATION (Non-construction) | |
| 1. | |
| 2. | |
| 3. | |
| 4. | |
| 5. | |
| 6. | |
| 7. | |
| 8. | |
| 9. | |
| 10. | |
| 11. | |
| 12. TOTAL (Share: Recipient _____% Federal _____%) | |
| 13. TOTAL APPROVED ASSISTANCE AMOUNT | \$ |
| TABLE C - PROGRAM ELEMENT CLASSIFICATION (Construction) | |
| 1. ADMINISTRATION EXPENSE | |
| 2. PRELIMINARY EXPENSE | |
| 3. LAND STRUCTURES, RIGHT-OF-WAY | |
| 4. ARCHITECTURAL ENGINEERING BASIC FEES | |
| 5. OTHER ARCHITECTURAL ENGINEERING FEES | |
| 6. PROJECT INSPECTION FEES | |
| 7. LAND DEVELOPMENT | |
| 8. RELOCATION EXPENSES | |
| 9. RELOCATION PAYMENTS TO INDIVIDUALS AND BUSINESSES | |
| 10. DEMOLITION AND REMOVAL | |
| 11. CONSTRUCTION AND PROJECT IMPROVEMENT | |
| 12. EQUIPMENT | |
| 13. MISCELLANEOUS | |
| 14. TOTAL (Lines 1 thru 13) | |
| 15. ESTIMATED INCOME (If applicable) | |
| 16. NET PROJECT AMOUNT (Line 14 minus 15) | |
| 17. LESS: INELIGIBLE EXCLUSIONS | |
| 18. ADD: CONTINGENCIES | |
| 19. TOTAL (Share: Recipient _____% Federal _____%) | |
| 20. TOTAL APPROVED ASSISTANCE AMOUNT | \$ |

PART III-AWARD CONDITIONS

a. GENERAL CONDITIONS

The recipient covenants and agrees that it will expeditiously initiate and timely complete the project work for which assistance has been awarded under this agreement, in accordance with all applicable provisions of 40 CFR Chapter I, Subpart B. The recipient warrants, represents, and agrees that it, and its contractors, subcontractors, employees and representatives, will comply with: (1) all applicable provisions of 40 CFR Chapter I, Subchapter B, INCLUDING BUT NOT LIMITED TO the provisions of Appendix A to 40 CFP Part 30, and (2) any special conditions set forth in this assistance agreement or any assistance amendment pursuant to 40 CFR 30.425.

b. SPECIAL CONDITIONS:

(For cooperative agreements include identification or summarization of EPA responsibilities that reflect or contribute to substantial involvement.)

The funds for the Kummer Landfill project are rebudgeted as follows, with no increase in Federal funds:

| <u>Object</u> <u>Class</u> <u>Category</u> | <u>Kummer</u> <u>RI/FS</u> | <u>Kummer</u> <u>RD</u> | <u>Kummer RA</u> <u>Operable</u> <u>Unit #1</u> |
|--|-------------------------------|----------------------------|---|
| Personnel | \$ 128,933 | \$ 33,464 | \$ 55,446 |
| Fringe Benefits | 23,204 | 6,022 | 9,980 |
| Travel | 7,230 | 5,743 | 2,600 |
| Equipment | 4,500 | 120 | -0- |
| Supplies | 240 | -0- | -0- |
| Contractual | 1,072,989 | 571,062 | 3,860 |
| Construction | -0- | -0- | 2,027,233 |
| Other | 1,365 | 554 | 750 |
| Direct Charges | 1,238,461 | 616,965 | 2,099,869 |
| Indirect Costs | 55,464 | 15,154 | 22,827 |
| Total | \$1,293,925 | \$632,119 | \$2,122,696 |
| Total Approved Assistance Amt. | \$1,182,599 | \$632,119 | \$1,955,061 |
| State Contribution | \$ 111,326* | \$ -0- | \$ 167,635* |

*Minnesota contributed \$111,326 as State Advance Match for RI/FS activities at the Kummer site. Of this amount, \$44,906 was credited via Amendment V005794-01-10 toward the State share for Operable Unit #1 RA.

Within 30 days of the date of this amendment award, the State shall submit for U.S. EPA approval a revised schedule for completion of the Kummer Operable Unit #2 landfill cap remedial construction.

PART III-AWARD CONDITIONS

a. GENERAL CONDITIONS

The recipient covenants and agrees that it will expeditiously initiate and timely complete the project work for which assistance has been awarded under this agreement, in accordance with all applicable provisions of 40 CFR Chapter I, Subpart B. The recipient warrants, represents, and agrees that it, and its contractors, subcontractors, employees and representatives, will comply with: (1) all applicable provisions of 40 CFR Chapter I, Subchapter B, INCLUDING BUT NOT LIMITED TO the provisions of Appendix A to 40 CFF Part 30, and (2) any special conditions set forth in this assistance agreement or any assistance amendment pursuant to 40 CFR 30.425.

b. SPECIAL CONDITIONS:

(For cooperative agreements include identification or summarization of EPA responsibilities that reflect or contribute to substantial involvement.)

The LaGrande RI/FS budget is revised, with no increase in funds. Federal funds for the MacGillis RI/FS are rebudgeted for OUs #1 and #2 and support agency services are added. Existing funds for the St. Louis River RI/FS are rebudgeted and increased by \$150,000. The new budgets approved by this amendment are as follows:

| Object Class Category | LaGrande RI/FS | MacGillis RI/FS OU #1 | MacGillis OU #2 MA | St. Louis River RI/FS |
|-----------------------------------|-------------------|--------------------------|-----------------------|-----------------------------|
| Personnel | \$ 65,000 | \$ 28,317 | \$ 26,029 | \$ 125,482 |
| Fringe Benefits | 11,700 | 5,097 | 4,685 | 22,194 |
| Travel | 2,000 | 959 | 2,000 | 9,633 |
| Equipment | -0- | -0- | -0- | 350 |
| Supplies | 645 | -0- | -0- | 1,162 |
| Contractual | 612,686 | 329,663 | 100,237 | 923,987 |
| Construction | -0- | -0- | -0- | -0- |
| Other | 710 | -0- | -0- | 2,751 |
| Direct Charges | 692,741 | 364,036 | 132,951 | 1,085,559 |
| Indirect Costs | 28,379 | 11,882 | 10,921 | 52,121 |
| Total | <u>\$721,120</u> | <u>\$375,918</u> | <u>\$143,872</u> | <u>\$1,137,680</u> |
| Total Approved Assistance Amt. | \$721,120 | \$375,918 | \$143,872 | \$1,137,680 |
| State Contribution | \$ -0- | \$ -0- | \$ -0- | \$ -0- |

Budget/Project Periods under this MSCA are extended as follows:

| | |
|-------------------------|------------------------------------|
| LaGrande RI/FS | July 1987 - December 31, 1992 |
| MacGillis & Gibbs RI/FS | September 1987 - December 31, 1991 |
| Oak Grove RI/FS | June 1985 - December 31, 1990 |
| Oak Grove RD | January 1989 - December 31, 1990 |

PART IV

NOTE: The Agreement must be completed in duplicate and the Original returned to the Grants Administration Division for Headquarters awards and to the appropriate Grants Administrations Office for State and local awards within 3 calendar weeks after receipt or within any extension of time as may be granted by EPA.

Receipt of a written refusal or failure to return the properly executed document within the prescribed time, may result in the withdrawal of the offer by the Agency. Any change to the Agreement by the recipient subsequent to the document being signed by the EPA Award Official which the Award Official determines to materially alter the Agreement shall void the Agreement.

OFFER AND ACCEPTANCE


The United States of America, acting by and through the U.S. Environmental Protection Agency (EPA), hereby offers assistance/amendment to the Minnesota Pollution Control Agency

for 94% of all approved costs incurred up to and not exceeding \$ 22,526,287

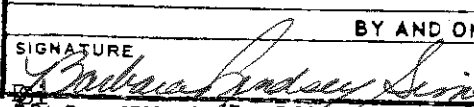
for the support of approved budget period effort described in application (including all application modifications)

Amendment Application dated July 25, August 23, and September 12, 1989 included herein by reference.

| | |
|--|--|
| ISSUING OFFICE (Grants Administration Office) | AWARD APPROVAL OFFICE |
| ORGANIZATION/ADDRESS Contracts and Grants Branch (5MCG) U.S. Environmental Protection Agency 230 South Dearborn Street Chicago, Illinois 60604 | ORGANIZATION/ADDRESS Waste Management Division (5HSM) U.S. Environmental Protection Agency 230 South Dearborn Street Chicago, Illinois 60604 |

| | |
|---|---|
| THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY | |
| SIGNATURE OF AWARD OFFICIAL  | TYPED NAME AND TITLE Valdas V. Adamkus, Regional Administrator |
| DATE SEP 28 1990 | |

This Agreement is subject to applicable U.S. Environmental Protection Agency statutory provisions and assistance regulations. In accepting this award or amendment and any payments made pursuant thereto, (1) the undersigned represents that he is duly authorized to act on behalf of the recipient organization, and (2) the recipient agrees (a) that the award is subject to the applicable provisions of 40 CFR Chapter I, Subchapter B and of the provisions of this agreement (Parts I thru IV), and (b) that acceptance of any payments constitutes an agreement by the payee that the amounts, if any found by EPA to have been overpaid will be refunded or credited in full to EPA.

| | |
|---|---|
| BY AND ON BEHALF OF THE DESIGNATED RECIPIENT ORGANIZATION | |
| SIGNATURE  | TYPED NAME AND TITLE Barbara Lindsey Sims, Deputy Commissioner |
| DATE 10-22-90 | |



Minnesota Pollution Control Agency

520 Lafayette Road, Saint Paul, Minnesota 55155-3898

Telephone (612) 296-6300



March 22, 1991

Mr. Valdas Adamkus
Regional Administrator
U.S. Environmental Protection Agency
230 South Dearborn Street
Chicago, Illinois 60604

Dear Mr. Adamkus:

RE: Multi-Site Cooperative Agreement: V005794-01-35

I am pleased to accept the above referenced Cooperative Agreement. The additional \$149,859 will allow the Minnesota Pollution Control Agency (MPCA) to proceed with the Remedial Investigation/Feasibility Study (RI/FS) at the Ritari site. The approved transferring of funds for the Long Prairie (Remedial Design) and Kummer (OU #2 Remedial Design) projects and project/budget period extensions for the Ritari, Kummer, South Andover, Arrowhead, Oak Grove, Adrian, and St. Louis River site projects will enable the MPCA to continue activities at these sites.

Enclosed are one original and two copies of the executed document. Thank you for your staff's assistance in executing this award. I look forward to the continued success of the Superfund Program in Minnesota.

Sincerely,

Charles W. Williams
Commissioner

CWW:cd

Enclosures

cc: Lois Betka, U.S. Environmental Protection Agency

| | | | | | | | |
|--|---|--|---|---|---|---|---------------------------------------|
| U.S. ENVIRONMENTAL PROTECTION AGENCY EPA ASSISTANCE AGREEMENT/AMENDMENT PART I - ASSISTANCE NOTIFICATION INFORMATION | | | | 1. ASSISTANCE ID NO. V005794-01-35 | | 2. LOG NUMBER | |
| | | | | 3. DATE OF AWARD FEB 27 1991 | | 4. MAILING DATE MAR 06 1991 | |
| 5. AGREEMENT TYPE <input type="checkbox"/> Cooperative Agreement <input type="checkbox"/> Grant Agreement <input checked="" type="checkbox"/> Assistance Amendment | | | | 6. PAYMENT METHOD <input type="checkbox"/> Advance <input type="checkbox"/> Reimbursement <input checked="" type="checkbox"/> Letter of Credit 68-13-0502 Send Payment Request To: | | | |
| RECIPIENT ORGANIZATION | 8. RECIPIENT Minnesota Pollution Control Agency 520 Lafayette Road St. Paul, Minnesota 55155 | | | 9. PAYEE Time Extensions Same as Block 8 | | | |
| | EIN NO. 41-6007162 | | CONGRESSIONAL DISTRICT Statewide | | 10. RECIPIENT TYPE State | | |
| | 11. PROJECT MANAGER AND TELEPHONE NO. James L. Warner (612) 296-7333 (Same address as Block 8) | | | 12. CONSULTANT (WWT Construction Grants Only) N/A | | | |
| | 13. ISSUING OFFICE (City/State) Chicago, Illinois | | | 14. EPA PROJECT/STATE OFFICER AND TELEPHONE NO. Lois Betka (5HSM) (312) 886-6399 State Relations Unit, SPMB U.S. Environmental Protection Agency 230 South Dearborn Street Chicago, Illinois 60604 | | | |
| EPA CONTACT | 15. EPA CONGRESSIONAL LIAISON & TEL. NO. Pat Gaskins (202) 382-5184 | | 16. STATE APPL ID (Clearinghouse) | | 17. FIELD OF SCIENCE N/A | | 18. PROJECT STEP (WWT CG Only) N/A |
| | 19. STATUTORY AUTHORITY P.L. 96-510 | | 20. REGULATORY AUTHORITY 40 CFR Parts 31, 35, Subpart 0 | | 21. STEP 2 + 3 & STEP 3 (WWT Construction Only) | | |
| | | | | | a. Treatment Level | | |
| | | | | | b. Project Type N | | |
| | | | | c. Treatment Process A | | | |
| | | | | d. Sludge Design | | | |
| 22. PROJECT TITLE AND DESCRIPTION Ritari RI/FS increase to add additional soil and groundwater analyses and RI/FS extension; transfer excess Long Prairie RI/FS funds to the RD; transfer excess Union Scrap RI/FS funds to Long Prairie RD; transfer Kummer OU #1 RD funds to OU #2 RD; extend South Andover RD; extend Arrowhead MA; extend Oak Grove RI/FS and RD; extend Adrian MA. | | | | | | | |
| 23. PROJECT LOCATION (Areas Impacted by Project) | | | | | | | |
| City/Place Statewide | | County Statewide | | State MN | | Congressional District Statewide | |
| 24. ASSISTANCE PROGRAM (CFDA Program No. & Title) 66.802 Superfund | | | | 25. PROJECT PERIOD 10/01/84 - 09/30/95 | | 26. BUDGET PERIOD 10/01/84 - 09/30/95 | |
| 27. COMMUNITY POPULATION (WWT CG Only) N/A | | 28. TOTAL BUDGET PERIOD COST \$24,167,606 | | | | 29. TOTAL PROJECT PERIOD COST \$24,167,606 | |
| FUNDS | | FORMER AWARD | | THIS ACTION | | AMENDED TOTAL | |
| 30. EPA Amount This Action | | \$22,526,287 | | \$149,859 | | \$22,676,146 | |
| 31. EPA In-Kind Amount | | | | | | | |
| 32. Unexpended Prior Year Balance | | | | | | | |
| 33. Other Federal Funds | | | | | | | |
| 34. Recipient Contribution | | | | | | | |
| 35. State Contribution | | 1,491,460 | | -0- | | 1,491,460 | |
| 36. Local Contribution | | | | | | | |
| 37. Other Contribution | | | | | | | |
| 38. Allowable Project Cost | | \$24,017,747 | | \$149,859 | | \$24,167,606 | |
| 39. FISCAL | Program Element | FY | Appropriation | Doc. Control No. | Account Number | Object Class | Obligation/Deoblig. Amount |
| | TFAYA | 91 | 68-20X8145 | SF0001 | 1TFASAFL7Q | 41.85 | \$149,859 |

39. FISCAL (continued)

| Site Name | Program Element | FY | Appropriation | Doc. Control Number | Account Number | Object Class | Obligation / Deobligation |
|--------------------|-----------------|----|---------------|---------------------|----------------|--------------|---------------------------|
| Long Prairie RI/FS | TFAY9A | 87 | 68-20X8145 | 009177 | 7TFA05FLS1 | 41.85 | (\$35,402) |
| Union Scrap RI/FS | QFAY9A | 87 | 68-20X8145 | 005846 | 7QFA05FLG7 | 41.85 | (\$32,943) |
| Long Prairie RD | TFAY9A | 87 | 68-20X8145 | 009177 | 7TFA05FNS1 | 41.85 | \$35,402 |
| Long Prairie RD | QFAY9A | 87 | 68-20X8145 | 005846 | 7QFA05FNS1 | 41.85 | \$32,943 |

| TABLE A - OBJECT CLASS CATEGORY (Non-construction) | | TOTAL APPROVED ALLOWABLE BUDGET PERIOD COST |
|--|--|--|
| 1. PERSONNEL | | \$ 1,255,801 |
| 2. FRINGE BENEFITS | | 226,320 |
| 3. TRAVEL | | 116,305 |
| 4. EQUIPMENT | | 25,420 |
| 5. SUPPLIES | | 23,083 |
| 6. CONTRACTUAL | | 9,646,919 |
| 7. CONSTRUCTION | | 12,282,362 |
| 8. OTHER | | 55,790 |
| 9. TOTAL DIRECT CHARGES | | 23,632,000 |
| 10. INDIRECT COSTS: RATE % BASE | | 535,606 |
| 11. TOTAL (Share: Recipient _____% Federal _____%) | | \$24,167,606 |
| 12. TOTAL APPROVED ASSISTANCE AMOUNT | | \$ 22,676,146 |
| TABLE B - PROGRAM ELEMENT CLASSIFICATION (Non-construction) | | |
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |
| 6. | | |
| 7. | | |
| 8. | | |
| 9. | | |
| 10. | | |
| 11. | | |
| 12. TOTAL (Share: Recipient _____% Federal _____%) | | |
| 13. TOTAL APPROVED ASSISTANCE AMOUNT | | \$ |
| TABLE C - PROGRAM ELEMENT CLASSIFICATION (Construction) | | |
| 1. ADMINISTRATION EXPENSE | | |
| 2. PRELIMINARY EXPENSE | | |
| 3. LAND STRUCTURES, RIGHT-OF-WAY | | |
| 4. ARCHITECTURAL ENGINEERING BASIC FEES | | |
| 5. OTHER ARCHITECTURAL ENGINEERING FEES | | |
| 6. PROJECT INSPECTION FEES | | |
| 7. LAND DEVELOPMENT | | |
| 8. RELOCATION EXPENSES | | |
| 9. RELOCATION PAYMENTS TO INDIVIDUALS AND BUSINESSES | | |
| 10. DEMOLITION AND REMOVAL | | |
| 11. CONSTRUCTION AND PROJECT IMPROVEMENT | | |
| 12. EQUIPMENT | | |
| 13. MISCELLANEOUS | | |
| 14. TOTAL (Lines 1 thru 13) | | |
| 15. ESTIMATED INCOME (If applicable) | | |
| 16. NET PROJECT AMOUNT (Line 14 minus 15) | | |
| 17. LESS: INELIGIBLE EXCLUSIONS | | |
| 18. ADD: CONTINGENCIES | | |
| 19. TOTAL (Share: Recipient _____% Federal _____%) | | |
| 20. TOTAL APPROVED ASSISTANCE AMOUNT | | \$ |

PART III-AWARD CONDITIONS

a. GENERAL CONDITIONS

The recipient covenants and agrees that it will expeditiously initiate and timely complete the project work for which assistance has been awarded under this agreement, in accordance with all applicable provisions of 40 CFR Chapter I, Subpart B. The recipient warrants, represents, and agrees that it, and its contractors, subcontractors, employees and representatives, will comply with: (1) all applicable provisions of 40 CFR Chapter I, Subchapter B, INCLUDING BUT NOT LIMITED TO the provisions of Appendix A to 40 CFP Part 30, and (2) any special conditions set forth in this assistance agreement or any assistance amendment pursuant to 40 CFR 30.425.

b. SPECIAL CONDITIONS

(For cooperative agreements include identification or summarization of EPA responsibilities that reflect or contribute to substantial involvement.)

The Ritari RI/FS is increased by \$149,859. The Long Prairie RI/FS is rebudgeted and \$35,402 from Long Prairie RI/FS account number 7TFA05FLS1, document control number 009177 is transferred to Long Prairie RD new account number 7TFA05FNS1 document control number _____. The Union Scrap RI/FS is rebudgeted and \$32,943 from Union Scrap RI/FS account number 7QFA05FLG7 document control number 005846 is transferred to Long Prairie RD new account number 7QFA05FNS1, document control number _____. The revised budgets approved by this amendment are as follows:

| <u>Category</u> | <u>Ritari RI/FS</u> | <u>Long Prairie RI/FS</u> | <u>Long Prairie RD</u> |
|---------------------------------------|-------------------------|-------------------------------|----------------------------|
| Personnel | \$ 62,916 | \$ 41,145 | \$ 22,575 |
| Fringe Benefits | 11,325 | 7,433 | 4,064 |
| Travel | 1,882 | 848 | 2,005 |
| Equipment | 200 | -0- | -0- |
| Supplies | 310 | 83 | -0- |
| Contractual | 709,000 | 295,852 | 293,345 |
| construction | -0- | -0- | -0- |
| Other | 340 | 251 | -0- |
| Direct Charges | 785,973 | 345,612 | 321,989 |
| Indirect Charges | 26,990 | 18,386 | 9,670 |
| Total | \$812,963 | \$363,998 | \$331,659 |
| Total Approved Assistance Amt. | \$812,963 | \$363,998 | \$331,659 |
| State Contribution | \$ -0- | \$ -0- | \$ -0- |

PART III-AWARD CONDITIONS

a. GENERAL CONDITIONS

The recipient covenants and agrees that it will expeditiously initiate and timely complete the project work for which assistance has been awarded under this agreement, in accordance with all applicable provisions of 40 CFR Chapter I, Subpart B. The recipient warrants, represents, and agrees that it, and its contractors, subcontractors, employees and representatives, will comply with: (1) all applicable provisions of 40 CFR Chapter I, Subchapter B, INCLUDING BUT NOT LIMITED TO the provisions of Appendix A to 40 CFP Part 30, and (2) any special conditions set forth in this assistance agreement or any assistance amendment pursuant to 40 CFR 30.425.

b. SPECIAL CONDITIONS:

(For cooperative agreements include identification or summarization of EPA responsibilities that reflect or contribute to substantial involvement.)

| <u>Category</u> | <u>Union Scrap RI/FS</u> |
|---------------------------------------|------------------------------|
| Personnel | \$ 50,947 |
| Fringe Benefits | 9,560 |
| Travel | 277 |
| Equipment | -0- |
| Supplies | -0- |
| Contractual | 400,525 |
| construction | -0- |
| Other | 771 |
| Direct Charges | 462,080 |
| Indirect Charges | <u>21,487</u> |
| Total | \$483,567 |
| Total Approved Assistance Amt. | \$483,567 |
| State Contribution | -0- |

The Kummer RD is rebudgeted to transfer \$5,000 from the Operable Unit (OU) #1 RD to the OU #2 RD, with no transfer between account numbers. Following are the revised OU budgets:

| <u>Category</u> | <u>Kummer RD OU #1</u> | <u>Kummer RD OU #2</u> |
|---------------------------------------|----------------------------|----------------------------|
| Personnel | \$ 15,940 | \$ 17,524 |
| Fringe Benefits | 2,866 | 3,156 |
| Travel | 963 | 4,780 |
| Equipment | -0- | 120 |
| Supplies | -0- | -0- |
| Contractual | 340,528 | 230,534 |
| construction | -0- | -0- |
| Other | 54 | 500 |
| Direct Charges | 360,351 | 256,614 |
| Indirect Charges | <u>7,628</u> | <u>7,526</u> |
| Total | \$367,979 | \$264,140 |
| Total Approved Assistance Amt. | \$367,979 | \$264,140 |
| State Contribution | -0- | -0- |

B. SPECIAL CONDITIONS (Continued)

Budget/Project Periods under this MSCA are extended as follows:

| | |
|-----------------------|------------------------------------|
| Ritari RI/FS | July 1987 - June 30, 1992 |
| Kummer RD OU #2 | December 1988 - March 31, 1992 |
| South Andover RD | June 30, 1988 - September 30, 1991 |
| Arrowhead RD MA | September 1984 - July 31, 1991 |
| Oak Grove RI/FS | June 1985 - March 31, 1991 |
| Oak Grove RD | January 1989 - March 31, 1991 |
| Adrian MA | March 1990 - December 31, 1991 |
| St. Louis River RI/FS | September 1985 - June 30, 1992 |

PART IV

NOTE: The Agreement must be completed in duplicate and the Original returned to the Grants Administration Division for Headquarters awards and to the appropriate Grants Administrations Office for State and local awards within 3 calendar weeks after receipt or within any extension of time as may be granted by EPA.

Receipt of a written refusal or failure to return the properly executed document within the prescribed time, may result in the withdrawal of the offer by the Agency. Any change to the Agreement by the recipient subsequent to the document being signed by the EPA Award Official which the Award Official determines to materially alter the Agreement shall void the Agreement.

OFFER AND ACCEPTANCE

The United States of America, acting by and through the U.S. Environmental Protection Agency (EPA), hereby offers assistance/amendment to the Minnesota Pollution Control Agency

for 100 % of all approved costs incurred up to and not exceeding \$ 22,676,146

RECIPIENT ORGANIZATION ASSISTANCE AMOUNT

for the support of approved budget period effort described in application (including all application modifications) Applications dated October 30, November 29, December 7, 19, 26, 1990 and January 9, 1991 included herein by reference.

DATE AND TITLE

ISSUING OFFICE (Grants Administration Office)

AWARD APPROVAL OFFICE

ORGANIZATION/ADDRESS

Contracts and Grants Branch (5MCG)
U.S. Environmental Protection Agency
230 South Dearborn Street
Chicago, Illinois 60604

ORGANIZATION/ADDRESS

Waste Management Division (5HSM)
U.S. Environmental Protection Agency
230 South Dearborn Street
Chicago, Illinois 60604

THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY

SIGNATURE OF AWARD OFFICIAL

TYPED NAME AND TITLE

DATE

Valdas V. Adamkus, Regional Administrator

FEB 27 1991

This Agreement is subject to applicable U.S. Environmental Protection Agency statutory provisions and assistance regulations. In accepting this award or amendment and any payments made pursuant thereto, (1) the undersigned represents that he is duly authorized to act on behalf of the recipient organization, and (2) the recipient agrees (a) that the award is subject to the applicable provisions of 40 CFR Chapter I, Subchapter B and of the provisions of this agreement (Parts I thru IV), and (b) that acceptance of any payments constitutes an agreement by the payee that the amounts, if any found by EPA to have been overpaid will be refunded or credited in full to EPA.

BY AND ON BEHALF OF THE DESIGNATED RECIPIENT ORGANIZATION

SIGNATURE

TYPED NAME AND TITLE

DATE

Charles W. Williams, Commissioner

3/22/91



Minnesota Pollution Control Agency

520 Lafayette Road, Saint Paul, Minnesota 55155-3898

Telephone (612) 296-6300



April 26, 1991

Mr. Valdas Adamkus
Regional Administrator
U.S. Environmental Protection Agency
230 South Dearborn Street
Chicago, Illinois 60604

RECEIVED
MAY 27 1991
GRANTS MANAGEMENT SECTION
REGION V

Dear Mr. Adamkus:

RE: Multi-Site Cooperative Agreement: V005794-01-36

I am pleased to accept the above referenced Cooperative Agreement. The receipt of \$2,250,000 will allow the Minnesota Pollution Control Agency (MPCA) to move forward with the remedial action at the Long Prairie Ground Water Contamination site.

Enclosed are one original and two copies of the executed document. Thank you for your staff's assistance in executing this award. I look forward to the continued success of the Superfund Program in Minnesota.

Sincerely,

Charles W. Williams
Commissioner

CWW:cd

Enclosures

cc: Lois Betka, U.S. Environmental Protection Agency

RECEIVED
MAY 1 1991
SUPERFUND PROGRAM
MANAGEMENT BRANCH

| | | | | | | | | | | | | | | | | |
|---|--|---------------------|--------------------------------------|---|---|--|---------------------------------------|--|--------------------|--------|-----------------|------------------------|----------------------|-----------|--------------------|----------------|
| U.S. ENVIRONMENTAL PROTECTION AGENCY EPA ASSISTANCE AGREEMENT / AMENDMENT PART I - ASSISTANCE NOTIFICATION INFORMATION | | | | | 1. ASSISTANCE ID NO. Y005794-01-36 | | 2. LOG NUMBER 05-V-000 | | | | | | | | | |
| | | | | | 3. DATE OF AWARD APR 08 1991 | | 4. MAILING DATE APR 15 1991 | | | | | | | | | |
| 5. AGREEMENT TYPE <input type="checkbox"/> Cooperative Agreement <input type="checkbox"/> Grant Agreement <input checked="" type="checkbox"/> Assistance Amendment | | | | 6. PAYMENT METHOD <input type="checkbox"/> Advance <input type="checkbox"/> Reimbursement <input checked="" type="checkbox"/> Letter of Credit 68-13-0502 Send Payment Request to: | | | | | | | | | | | | |
| | | | | 7. TYPE OF ACTION AUGMENTATION INCR. | | | | | | | | | | | | |
| R E C I P I E N T O R G E P A C O N T A C T | 8. RECIPIENT MINNESOTA PCA GROUNDWATER AND SOLID WASTE DIVISION 520 LAFAYETTE ROAD ST. PAUL, MN 55155 | | | | 9. PAYEE MINNESOTA PCA 520 LAFAYETTE ROAD ST. PAUL, MN 55155 | | | | | | | | | | | |
| | EIN NO. 41-6007162 | | CONGRESSIONAL DISTRICT ALL | | 10. RECIPIENT TYPE STATE | | | | | | | | | | | |
| | 11. PROJECT MANAGER AND TELEPHONE NO. JULINE METZ (612) 296-7701 | | | | 12. CONSULTANT (WWT Construction Grants Only) N/A | | | | | | | | | | | |
| | 13. ISSUING OFFICE (CITY / STATE) CONTRACTS AND GRANTS BRANCH US EPA, REGION 5 230 S. DEARBORN ST CHICAGO, IL 60604 | | | | 14. EPA PROJECT / STATE OFFICER AND TELEPHONE NO. LOIS BETKA WASTE MANAGEMENT DIVISION 5 HSM-12. (312) 886-6399 | | | | | | | | | | | |
| 15. EPA CONGRESSIONAL LIAISON & PHONE PATRICIA GASKINS, (202) 382-5184 | | | | 16. STATE APPL ID (Clearinghouse) | | 17. SCIENCE FIELD | | 18. PROJECT STEP (WWT Construction Grants Only) N/A | | | | | | | | |
| 19. STATUTORY AUTHORITY CERCLA: SEC. 104 AMENDED BY SARA | | | | 20. REGULATORY AUTHORITY 40 CFR PARTS 31, 35 SUBPART O | | 21. STEP 2 + 3 & STEP 3 (WWT Construction Grants Only) <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td>a. Treatment Level</td> <td></td> </tr> <tr> <td>b. Project Type</td> <td>N/A</td> </tr> <tr> <td>c. Treatment Process</td> <td></td> </tr> <tr> <td>d. Sludge Disposal</td> <td></td> </tr> </table> | | | a. Treatment Level | | b. Project Type | N/A | c. Treatment Process | | d. Sludge Disposal | |
| a. Treatment Level | | | | | | | | | | | | | | | | |
| b. Project Type | N/A | | | | | | | | | | | | | | | |
| c. Treatment Process | | | | | | | | | | | | | | | | |
| d. Sludge Disposal | | | | | | | | | | | | | | | | |
| 22. PROJECT TITLE AND DESCRIPTION LONG PRAIRIE RA | | | | | | | | | | | | | | | | |
| 23. PROJECT LOCATION (Areas Impacted by Project) <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td>City / Place</td> <td>County</td> <td>State</td> <td>Congressional District</td> </tr> <tr> <td>STATEWIDE</td> <td>STATEWIDE</td> <td>MN</td> <td>ST AT EW I D E</td> </tr> </table> | | | | | | | | | City / Place | County | State | Congressional District | STATEWIDE | STATEWIDE | MN | ST AT EW I D E |
| City / Place | County | State | Congressional District | | | | | | | | | | | | | |
| STATEWIDE | STATEWIDE | MN | ST AT EW I D E | | | | | | | | | | | | | |
| 24. ASSISTANCE PROGRAM (CFDA Program No. & Title) 66.802 Hazardous Substance Response Trust Fund | | | | 25. PROJECT PERIOD 10/01/84 to 09/30/95 | | 26. BUDGET PERIOD 10/01/84 to 09/30/95 | | | | | | | | | | |
| 27. COMMUNITY POPULATION (WWT Construction Grants Only) N/A | | | | 28. TOTAL BUDGET PERIOD COST \$26,667,606 | | 29. TOTAL PROJECT PERIOD COST \$26,667,606 | | | | | | | | | | |
| FUNDS | | FORMER AWARD | | THIS ACTION | | AMENDED TOTAL | | | | | | | | | | |
| 30. EPA Amount This Action | | \$22,676,146 | | \$2,250,000 | | \$24,926,146 | | | | | | | | | | |
| 31. EPA In-Kind Amount | | 0 | | 0 | | 0 | | | | | | | | | | |
| 32. Unexpended Prior Year Balance | | 0 | | 0 | | 0 | | | | | | | | | | |
| 33. Other Federal Funds | | 0 | | 0 | | 0 | | | | | | | | | | |
| 34. Recipient Contribution | | 0 | | 0 | | 0 | | | | | | | | | | |
| 35. State Contribution | | 1,491,460 | | 250,000 | | 1,741,460 | | | | | | | | | | |
| 36. Local Contribution | | 0 | | 0 | | 0 | | | | | | | | | | |
| 37. Other Contribution | | 0 | | 0 | | 0 | | | | | | | | | | |
| 38. Allowable Project Cost | | 24,167,606 | | 2,500,000 | | 26,667,606 | | | | | | | | | | |
| F I S C A L | Program Element | FY | Appropriation | Doc. Control No. | Account Number | Object Class | Obligation / Deobligation | | | | | | | | | |
| | (See continuation page) | | | | | | | | | | | | | | | |

| 39. FISCAL (continued) | | | | | | | |
|------------------------|-----------------|----|---------------|---------------------|----------------|--------------|---------------------------|
| Site Name | Program Element | FY | Appropriation | Doc. Control Number | Account Number | Object Class | Obligation / Deobligation |
| SUMMARY BUDGET | 01) TFAY9A | | | | | | 0 |
| LONG PRAIRIE | 02) TFAY9A | 91 | 6820X8145 | SC0004 | 1TFA5AFRS1 | 41.85 | 2,250,000 |

| Site Name | Program Element | FY | Appropriation | Doc. Control Number | Account Number | Object Class | Obligation / Deobligation |
|----------------|-----------------|----|---------------|---------------------|----------------|--------------|---------------------------|
| SUMMARY BUDGET | 01) TFAY9A | | | | | | 0 |
| LONG PRAIRIE | 02) TFAY9A | 91 | 6820X8145 | SC0004 | 1TFA5AFRS1 | 41.85 | 2,250,000 |

PART II - APPROVED BUDGET

SITE NAME: SUMMARY BUDGET

ASSISTANCE IDENTIFI

ION: V005794-01-36 Page 3 of 6

TABLE A - OBJECT CLASS CATEGORY
(Non-construction)TOTAL APPROVED ALLOWABLE
BUDGET PERIOD COST

| | |
|--|--------------|
| 1. PERSONNEL | \$1,319,758 |
| 2. FRINGE BENEFITS | 237,832 |
| 3. TRAVEL | 123,305 |
| 4. EQUIPMENT | 25,420 |
| 5. SUPPLIES | 28,083 |
| 6. CONTRACTUAL | 9,992,239 |
| 7. CONSTRUCTION | 14,320,612 |
| 8. OTHER | 58,790 |
| 9. TOTAL DIRECT CHARGES | \$26,106,039 |
| 10. INDIRECT COSTS: RATE 0.00 BASE | 561,567 |
| 11. TOTAL (Share: Recipient 0.00 % Federal 0.00 %) See Part II | \$26,667,606 |
| 12. TOTAL APPROVED ASSISTANCE AMOUNT | \$24,926,146 |

TABLE B - PROGRAM ELEMENT CLASSIFICATION
(Non-construction)

| |
|--|
| 1. |
| 2. |
| 3. |
| 4. |
| 5. |
| 6. |
| 7. |
| 8. |
| 9. |
| 10. |
| 11. |
| 12. TOTAL (Share: Recipient % Federal %) |

13. TOTAL APPROVED ASSISTANCE AMOUNT

TABLE C - PROGRAM ELEMENT CLASSIFICATION
(Construction)

| |
|--|
| 1. ADMINISTRATION EXPENSE |
| 2. PRELIMINARY EXPENSE |
| 3. LAND STRUCTURES, RIGHT-OF-WAY |
| 4. ARCHITECTURAL ENGINEERING BASIC FEES |
| 5. OTHER ARCHITECTURAL ENGINEERING FEES |
| 6. PROJECT INSPECTION FEES |
| 7. LAND DEVELOPMENT |
| 8. RELOCATION EXPENSE |
| 9. RELOCATION PAYMENTS TO INDIVIDUALS AND BUSINESS |
| 10. DEMOLITION AND REMOVAL |
| 11. CONSTRUCTION AND PROJECT IMPROVEMENT |
| 12. EQUIPMENT |
| 13. MISCELLANEOUS |
| 14. TOTAL (Lines 1 thru 13) |
| 15. ESTIMATED INCOME (if applicable) |
| 16. NET PROJECT AMOUNT (Line 14 minus 15) |
| 17. LESS: INELIGIBLE EXCLUSIONS |
| 18. ADD: CONTINGENCIES |
| 19. TOTAL (Share: Recipient % Federal %) |

20. TOTAL APPROVED ASSISTANCE AMOUNT

PART II - APPROVED BUDGET

SITE NAME: LONG PRAIRIE 4/1/91-10/31/91

ASSISTANCE IDENTIFI

TION: V005794-01-36 Page 4 of 6

TABLE A - OBJECT CLASS CATEGORY
(Non-construction)

**TOTAL APPROVED ALLOWABLE
BUDGET PERIOD COST**

| | |
|---|-------------|
| 1. PERSONNEL | \$63,957 |
| 2. FRINGE BENEFITS | 11,512 |
| 3. TRAVEL | 7,000 |
| 4. EQUIPMENT | 0 |
| 5. SUPPLIES | 5,000 |
| 6. CONTRACTUAL | 345,320 |
| 7. CONSTRUCTION | 2,038,250 |
| 8. OTHER | 3,000 |
| 9. TOTAL DIRECT CHARGES | \$2,474,039 |
| 10. INDIRECT COSTS: RATE 34.40 BASE S + FB | 25,961 |
| 11. TOTAL (Share: Recipient 10.00 % Federal 90.00 %.) | \$2,500,000 |
| 12. TOTAL APPROVED ASSISTANCE AMOUNT | \$2,250,000 |

TABLE B - PROGRAM ELEMENT CLASSIFICATION
(Non-construction)

| | |
|---|--|
| 1. | |
| 2. | |
| 3. | |
| 4. | |
| 5. | |
| 6. | |
| 7. | |
| 8. | |
| 9. | |
| 10. | |
| 11. | |
| 12. TOTAL (Share: Recipient % Federal %.) | |
| 13. TOTAL APPROVED ASSISTANCE AMOUNT | |

TABLE C - PROGRAM ELEMENT CLASSIFICATION
(Construction)

| | |
|--|--|
| 1. ADMINISTRATION EXPENSE | |
| 2. PRELIMINARY EXPENSE | |
| 3. LAND STRUCTURES, RIGHT-OF-WAY | |
| 4. ARCHITECTURAL ENGINEERING BASIC FEES | |
| 5. OTHER ARCHITECTURAL ENGINEERING FEES | |
| 6. PROJECT INSPECTION FEES | |
| 7. LAND DEVELOPMENT | |
| 8. RELOCATION EXPENSE | |
| 9. RELOCATION PAYMENTS TO INDIVIDUALS AND BUSINESS | |
| 10. DEMOLITION AND REMOVAL | |
| 11. CONSTRUCTION AND PROJECT IMPROVEMENT | |
| 12. EQUIPMENT | |
| 13. MISCELLANEOUS | |
| 14. TOTAL (Lines 1 thru 13) | |
| 15. ESTIMATED INCOME (if applicable) | |
| 16. NET PROJECT AMOUNT (Line 14 minus 15) | |
| 17. LESS: INELIGIBLE EXCLUSIONS | |
| 18. ADD: CONTINGENCIES | |
| 19. TOTAL (Share: Recipient % Federal %.) | |
| 20. TOTAL APPROVED ASSISTANCE AMOUNT | |

a. GENERAL CONDITION

The recipient covenants and agrees that it will expeditiously initiate and timely complete the project work for which assistance has been awarded under this agreement, in accordance with all applicable provisions of 40 CFR Chapter I, Subchapter B. The recipient warrants, represents, and agrees that it, and all its contractors, employees and representatives, will comply with all applicable provisions of 40 CFR Chapter I, Subchapter B, INCLUDING BUT NOT LIMITED TO the provisions of 40 CFR 35 subpart O.

b. SPECIAL CONDITIONS

AMENDMENTS

LONG PRAIRIE GROUND WATER CONTAMINATION RA AS OUTLINED
IN APPLICATION SUBMITTED 3/13/91.

ALL PREVIOUSLY CITED CONDITIONS REMAIN THE SAME.

SPECIAL CONDITIONS (continued)

PART IV

NOTE: The Agreement must be completed in duplicate and the Original returned to the Grants Administration Division for Headquarters awards and to the appropriate Grants Administration Office for State and local awards within 3 calendar weeks after receipt or within any extension of time as may be granted by EPA.

Receipt of a written refusal or failure to return the properly executed document within the prescribed time, may result in the withdrawal of the offer by the Agency. Any change to the Agreement by the recipient subsequent to the document being signed by the EPA Award Official, which the Award Official determines to materially alter the Agreement, shall void the Agreement.

OFFER AND ACCEPTANCE

The United States of America, acting by and through the U.S. Environmental Protection Agency (EPA), hereby offers assistance/amendment to the MINNESOTA PCA for 0.00 % of all approved costs ~~See Part II~~
incurring up to and not exceeding \$ 24,926,146 for the support of approved budget period effort described
in application (including all application modifications) cited in Item 22 of this Agreement
3/13/91 LONG PRAIRIE RA
ASSISTANCE AMOUNT

DATE AND TITLE

ISSUING OFFICE (Grants Administration Office)

ORGANIZATION / ADDRESS

CONTRACTS AND GRANTS BRANCH
US EPA, REGION 5
230 S. DEARBORN ST
CHICAGO, IL 60604

AWARD APPROVAL OFFICE

ORGANIZATION / ADDRESS

REGIONAL ADMINISTRATOR
US EPA, REGION 5
230 S. DEARBORN STREET
CHICAGO, IL 60604

THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY

SIGNATURE OF AWARD OFFICIAL

TYPED NAME AND TITLE VALDAS V. ADAMKUS

DATE

REGIONAL ADMINISTRATOR, REGION 5

APR 08 1991

This agreement is subject to applicable U.S. Environmental Protection Agency statutory provisions and assistance regulations. In accepting this award or amendment and any payments made pursuant thereto, (1) the undersigned represents that he is duly authorized to act on behalf of the recipient organization, and (2) the recipient agrees (a) that the award is subject to the applicable provisions of 40 CFR Chapter I, Subchapter B and of the provisions of this agreement (Parts I thru IV), and (b) that acceptance of any payments constitutes an agreement by the payee that the amounts, if any found by EPA to have been overpaid will be refunded or credited in full to EPA.

BY AND ON BEHALF OF THE DESIGNATED RECIPIENT ORGANIZATION

SIGNATURE

TYPED NAME AND TITLE

DATE

Charles W. Williams, Commissioner

4/26/91

U.S. ENVIRONMENTAL PROTECTION AGENCY
EPA ASSISTANCE AGREEMENT / AMENDMENT
PART I - ASSISTANCE NOTIFICATION INFORMATION

1. ASSISTANCE ID NO. V005794-01-37
2. LOG NUMBER 05-V-000
3. DATE OF AWARD JUN 06 1991
4. MAILING DATE JUN 6 1991

5. AGREEMENT TYPE
☐ Cooperative Agreement
☐ Grant Agreement
☒ Assistance Amendment
6. PAYMENT METHOD
☐ Advance
☐ Reimbursement
☒ Letter of Credit 68-13-0502
Send Payment Request to:
FINANCIAL MANAGEMENT BRANCH
7. TYPE OF ACTION
NON-MONETARY

8. RECIPIENT
MINNESOTA PCA
GROUNDWATER AND SOLID WASTE DIVISION
520 LAFAYETTE ROAD
ST. PAUL, MN 55155
9. PAYEE
MINNESOTA PCA
520 LAFAYETTE ROAD
ST. PAUL, MN 55155

EIN NO. 41-6007162
CONGRESSIONAL DISTRICT ALL
10. RECIPIENT TYPE
STATE

11. PROJECT MANAGER AND TELEPHONE NO.
JULINE METZ
(612) 296-7701
12. CONSULTANT (WWT Construction Grants Only)
N/A

13. ISSUING OFFICE (CITY / STATE)
CONTRACTS AND GRANTS BRANCH
US EPA, REGION 5
230 S. DEARBORN ST
CHICAGO, IL 60604
14. EPA PROJECT / STATE OFFICER AND TELEPHONE NO.
LOIS BETKA
WASTE MANAGEMENT DIVISION
5 HSM-12. (312) 886-6399

15. EPA CONGRESSIONAL LIAISON & PHONE
PATRICIA GASKINS, (202) 382-5184
16. STATE APPL ID (Clearinghouse)
17. SCIENCE FIELD
18. PROJECT STEP (WWT Construction Grants Only)
N/A

19. STATUTORY AUTHORITY
CERCLA: SEC. 104 AMENDED BY SARA
20. REGULATORY AUTHORITY
40 CFR PARTS 31, 35
SUBPART O
21. STEP 2 + 3 & STEP 3 (WWT Construction Grants Only)
a. Treatment Level
b. Project Type N/A
c. Treatment Process
d. Sludge Design

22. PROJECT TITLE AND DESCRIPTION
AMENDMENT TO MULTI-SITE FOR COMPREHENSIVE
ENVIRONMENTAL RESPONSE ACTIVITIES

23. PROJECT LOCATION (Areas Impacted by Project)
City / Place STATEWIDE
County STATEWIDE
State MN
Congressional District ST AT EW ID E

24. ASSISTANCE PROGRAM (CFDA Program No. & Title) 66.802
Hazardous Substance Response Trust Fund
25. PROJECT PERIOD
10/01/84 to 09/30/95
26. BUDGET PERIOD
10/01/84 to 09/30/95

27. COMMUNITY POPULATION (WWT Construction Grants Only) N/A
28. TOTAL BUDGET PERIOD COST
\$26,667,606
29. TOTAL PROJECT PERIOD COST
\$26,667,606

| FUNDS | FORMER AWARD | THIS ACTION | AMENDED TOTAL |
|-----------------------------------|--------------|-------------|---------------|
| 30. EPA Amount This Action | \$24,926,146 | \$0 | \$24,926,146 |
| 31. EPA In-Kind Amount | 0 | 0 | 0 |
| 32. Unexpended Prior Year Balance | 0 | 0 | 0 |
| 33. Other Federal Funds | 0 | 0 | 0 |
| 34. Recipient Contribution | 0 | 0 | 0 |
| 35. State Contribution | 1,741,460 | 0 | 1,741,460 |
| 36. Local Contribution | 0 | 0 | 0 |
| 37. Other Contribution | 0 | 0 | 0 |
| 38. Allowable Project Cost | 26,667,606 | 0 | 26,667,606 |

| Program Element | FY | Appropriation | Doc. Control No. | Account Number | Object Class | Obligation / Deobligation |
|-------------------------|----|---------------|------------------|----------------|--------------|---------------------------|
| (See continuation page) | | | | | | |

39. FISCAL (continued)

| Site Name | Program Element | FY | Appropriation | Doc. Control Number | Account Number | Object Class | Obligation / Deobligation |
|-------------------|-----------------|----|---------------|---------------------|----------------|--------------|---------------------------|
| SUMMARY BUDGET | 01) | | | | | | 0 |
| LONG PRAIRIE RD | 02) QFAY9A | 87 | 6820X8145 | 005846 | 7QFA05FNS1 | 41.85 | 20,251 |
| UNION SCRAP RI/FS | 03) QFAY9A | 87 | 6820X8145 | 005846 | 7QFA05FLG7 | 41.85 | (20,251) |

PART II - APPROVED BUDGET

SITE NAME: SUMMARY BUDGET

ASSISTANCE IDENTIFI

ATION: V005794-01-37

Page 3 of 7

| TABLE A - OBJECT CLASS CATEGORY (Non-construction) | TOTAL APPROVED ALLOWABLE BUDGET PERIOD COST |
|---|--|
| 1. PERSONNEL | \$1,319,758 |
| 2. FRINGE BENEFITS | 237,832 |
| 3. TRAVEL | 123,305 |
| 4. EQUIPMENT | 25,420 |
| 5. SUPPLIES | 28,083 |
| 6. CONTRACTUAL | 9,992,239 |
| 7. CONSTRUCTION | 14,320,612 |
| 8. OTHER | 58,790 |
| 9. TOTAL DIRECT CHARGES | \$26,106,039 |
| 10. INDIRECT COSTS: RATE 0.00 BASE | 561,567 |
| 11. TOTAL (Share: Recipient 0.00 % Federal 0.00 %.) | \$26,667,606 |
| 12. TOTAL APPROVED ASSISTANCE AMOUNT | \$24,926,146 |

| TABLE B - PROGRAM ELEMENT CLASSIFICATION (Non-construction) | |
|--|--|
| 1. | |
| 2. | |
| 3. | |
| 4. | |
| 5. | |
| 6. | |
| 7. | |
| 8. | |
| 9. | |
| 10. | |
| 11. | |
| 12. TOTAL (Share: Recipient % Federal %.) | |
| 13. TOTAL APPROVED ASSISTANCE AMOUNT | |

| TABLE C - PROGRAM ELEMENT CLASSIFICATION (Construction) | |
|--|--|
| 1. ADMINISTRATION EXPENSE | |
| 2. PRELIMINARY EXPENSE | |
| 3. LAND STRUCTURES, RIGHT-OF-WAY | |
| 4. ARCHITECTURAL ENGINEERING BASIC FEES | |
| 5. OTHER ARCHITECTURAL ENGINEERING FEES | |
| 6. PROJECT INSPECTION FEES | |
| 7. LAND DEVELOPMENT | |
| 8. RELOCATION EXPENSE | |
| 9. RELOCATION PAYMENTS TO INDIVIDUALS AND BUSINESS | |
| 10. DEMOLITION AND REMOVAL | |
| 11. CONSTRUCTION AND PROJECT IMPROVEMENT | |
| 12. EQUIPMENT | |
| 13. MISCELLANEOUS | |
| 14. TOTAL (Lines 1 thru 13) | |
| 15. ESTIMATED INCOME (if applicable) | |
| 16. NET PROJECT AMOUNT (Line 14 minus 15) | |
| 17. LESS: INELIGIBLE EXCLUSIONS | |
| 18. ADD: CONTINGENCIES | |
| 19. TOTAL (Share: Recipient % Federal %.) | |
| 20. TOTAL APPROVED ASSISTANCE AMOUNT | |

PART II - APPROVED BUDGET

SITE NAME: LONG PRAIRIE RD

ASSISTANCE IDENTIFICATION: V005795-01-37

Page 4 of 7

TABLE A - OBJECT CLASS CATEGORY
(Non-construction)

**TOTAL APPROVED ALLOWABLE
BUDGET PERIOD COST**

| | |
|---|-----------|
| 1. PERSONNEL | \$22,575 |
| 2. FRINGE BENEFITS | 4,064 |
| 3. TRAVEL | 2,005 |
| 4. EQUIPMENT | 0 |
| 5. SUPPLIES | 0 |
| 6. CONTRACTUAL | 313,596 |
| 7. CONSTRUCTION | 0 |
| 8. OTHER | 0 |
| 9. TOTAL DIRECT CHARGES | \$342,240 |
| 10. INDIRECT COSTS: RATE <u>0.00</u> BASE | 9,670 |
| 11. TOTAL (Share: Recipient <u>0.00</u> % Federal <u>100.00</u> %.) | \$351,910 |

12. TOTAL APPROVED ASSISTANCE AMOUNT

\$351,910

TABLE B - PROGRAM ELEMENT CLASSIFICATION
(Non-construction)

| | |
|---|--|
| 1. | |
| 2. | |
| 3. | |
| 4. | |
| 5. | |
| 6. | |
| 7. | |
| 8. | |
| 9. | |
| 10. | |
| 11. | |
| 12. TOTAL (Share: Recipient _____ % Federal _____ %.) | |

13. TOTAL APPROVED ASSISTANCE AMOUNT

TABLE C - PROGRAM ELEMENT CLASSIFICATION
(Construction)

| | |
|--|--|
| 1. ADMINISTRATION EXPENSE | |
| 2. PRELIMINARY EXPENSE | |
| 3. LAND STRUCTURES, RIGHT-OF-WAY | |
| 4. ARCHITECTURAL ENGINEERING BASIC FEES | |
| 5. OTHER ARCHITECTURAL ENGINEERING FEES | |
| 6. PROJECT INSPECTION FEES | |
| 7. LAND DEVELOPMENT | |
| 8. RELOCATION EXPENSE | |
| 9. RELOCATION PAYMENTS TO INDIVIDUALS AND BUSINESS | |
| 10. DEMOLITION AND REMOVAL | |
| 11. CONSTRUCTION AND PROJECT IMPROVEMENT | |
| 12. EQUIPMENT | |
| 13. MISCELLANEOUS | |
| 14. TOTAL (Lines 1 thru 13) | |
| 15. ESTIMATED INCOME (if applicable) | |
| 16. NET PROJECT AMOUNT (Line 14 minus 15) | |
| 17. LESS: INELIGIBLE EXCLUSIONS | |
| 18. ADD: CONTINGENCIES | |

19. TOTAL (Share: Recipient _____ % Federal _____ %.)

20. TOTAL APPROVED ASSISTANCE AMOUNT

PART II - APPROVED BUDGET

 SITE NAME: UNION SCRAP RI/FS

ASSISTANCE IDENTIFI

 ON: V005794-01-37

Page 5 of 7

TABLE A - OBJECT CLASS CATEGORY
(Non-construction)

**TOTAL APPROVED ALLOWABLE
BUDGET PERIOD COST**

| | |
|---|-----------|
| 1. PERSONNEL | \$50,947 |
| 2. FRINGE BENEFITS | 9,560 |
| 3. TRAVEL | 277 |
| 4. EQUIPMENT | 0 |
| 5. SUPPLIES | 0 |
| 6. CONTRACTUAL | 380,274 |
| 7. CONSTRUCTION | 0 |
| 8. OTHER | 771 |
| 9. TOTAL DIRECT CHARGES | \$441,829 |
| 10. INDIRECT COSTS: RATE <u>0.00</u> BASE | 21,487 |
| 11. TOTAL (Share: Recipient <u>0.00</u> % Federal <u>100.00</u> %.) | \$463,316 |
| 12. TOTAL APPROVED ASSISTANCE AMOUNT | \$463,316 |

TABLE B - PROGRAM ELEMENT CLASSIFICATION
(Non-construction)

| | |
|---|--|
| 1. | |
| 2. | |
| 3. | |
| 4. | |
| 5. | |
| 6. | |
| 7. | |
| 8. | |
| 9. | |
| 10. | |
| 11. | |
| 12. TOTAL (Share: Recipient _____ % Federal _____ %.) | |
| 13. TOTAL APPROVED ASSISTANCE AMOUNT | |

TABLE C - PROGRAM ELEMENT CLASSIFICATION
(Construction)

| | |
|---|--|
| 1. ADMINISTRATION EXPENSE | |
| 2. PRELIMINARY EXPENSE | |
| 3. LAND STRUCTURES, RIGHT-OF-WAY | |
| 4. ARCHITECTURAL ENGINEERING BASIC FEES | |
| 5. OTHER ARCHITECTURAL ENGINEERING FEES | |
| 6. PROJECT INSPECTION FEES | |
| 7. LAND DEVELOPMENT | |
| 8. RELOCATION EXPENSE | |
| 9. RELOCATION PAYMENTS TO INDIVIDUALS AND BUSINESS | |
| 10. DEMOLITION AND REMOVAL | |
| 11. CONSTRUCTION AND PROJECT IMPROVEMENT | |
| 12. EQUIPMENT | |
| 13. MISCELLANEOUS | |
| 14. TOTAL (Lines 1 thru 13) | |
| 15. ESTIMATED INCOME (if applicable) | |
| 16. NET PROJECT AMOUNT (Line 14 minus 15) | |
| 17. LESS: INELIGIBLE EXCLUSIONS | |
| 18. ADD: CONTINGENCIES | |
| 19. TOTAL (Share: Recipient _____ % Federal _____ %.) | |
| 20. TOTAL APPROVED ASSISTANCE AMOUNT | |

a. GENERAL CONDITION

The recipient covenants and agrees that it will expeditiously initiate and timely complete the project work for which assistance has been awarded under this agreement, in accordance with all applicable provisions of 40 CFR Chapter I, Subchapter B. The recipient warrants, represents, and agrees that it, and all its contractors, employees and representatives, will comply with all applicable provisions of 40 CFR Chapter I, Subchapter B, INCLUDING BUT NOT LIMITED TO the provisions of 40 CFR 35 subpart O.

b. SPECIAL CONDITIONS

AMENDMENT TO MULTI-SITE, MULTI-ACTIVITY CA FOR COMPREHENSIVE ENVIRONMENTAL RESPONSE ACTIVITIES AND FOR REBUDGETING AND REALLOCATION OF PRIOR AWARDED FUNDS.

ALL PREVIOUSLY CITED CONDITIONS REMAIN THE SAME

HAS NEGOTIATED A FAIR SHARE

1. FAIR SHARE CONDITIONS

The recipient must ensure to the fullest extent possible that at least 8% MBE and ___% WBE of Federal funds for prime contracts or subcontracts for supplies, construction, equipment or services are made available to organizations owned or controlled by socially and economically disadvantaged individuals, women and historically black colleges and universities.

The recipient agrees to include in its bid documents a 4% MBE and 4% WBE "Fair Share" and require all of its prime contractors to include in their documents for subcontracts a 4% MBE and 4% WBE negotiated "Fair Share" percentages.

To evaluate compliance with the "Fair Share" policy, the recipient also agrees to comply with the six affirmative steps stated in 40 CFR 33.240, 31.36(e) or 35.680(a), as appropriate.

The State and/or recipient agrees to submit a SF-334 "MBE/WBE Utilization Under Federal Grants, Cooperative Agreements, and other Federal Financial Assistance", to the EPA award official beginning with the Federal fiscal year quarter the recipient awards its first contract and continuing until all contracts and subcontracts have been reported. These reports must be submitted to the award official within 30 days of the end of the Federal fiscal quarter (January 30, April 30, July 30 and October 30).

SPECIAL CONDITIONS (continued)

PART IV

NOTE: The Agreement must be completed in duplicate and the Original returned to the Grants Administration Division for Headquarters awards and to the appropriate Grants Administration Office for State and local awards within 3 calendar weeks after receipt or within any extension of time as may be granted by EPA.

Receipt of a written refusal or failure to return the properly executed document within the prescribed time, may result in the withdrawal of the offer by the Agency. Any change to the Agreement by the recipient subsequent to the document being signed by the EPA Award Official, which the Award Official determines to materially alter the Agreement, shall void the Agreement.

OFFER AND ACCEPTANCE

The United States of America, acting by and through the U.S. Environmental Protection Agency (EPA), hereby offers

assistance/amendment to the MINNESOTA PCA for 0.00 % of all approved costs
RECIPIENT ORGANIZATION

Incurred up to and not exceeding \$ 24,926,146 for the support of approved budget period effort described
ASSISTANCE AMOUNT

In application (including all application modifications) cited in Item 22 of this Agreement

03/12/91 AMENDMENT TO MULTI-SITE FOR COMPREHENSIVE

DATE AND TITLE

Included herein by reference.

ISSUING OFFICE (Grants Administration Office)

ORGANIZATION / ADDRESS

CONTRACTS AND GRANTS BRANCH
US EPA, REGION 5
230 S. DEARBORN ST.
CHICAGO, IL 60604

AWARD APPROVAL OFFICE

ORGANIZATION / ADDRESS

REGIONAL ADMINISTRATOR
US EPA, REGION 5
230 S. DEARBORN STREET
CHICAGO, IL 60604

THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY

SIGNATURE OF AWARD OFFICIAL

TYPED NAME AND TITLE

VALDAS V. ADAMKUS
REGIONAL ADMINISTRATOR, RGN 5

DATE

JUN 6 1991

This agreement is subject to applicable U.S. Environmental Protection Agency statutory provisions and assistance regulations. In accepting this award or amendment and any payments made pursuant thereto, (1) the undersigned represents that he is duly authorized to act on behalf of the recipient organization, and (2) the recipient agrees (a) that the award is subject to the applicable provisions of 40 CFR Chapter I, Subchapter B and of the provisions of this agreement (Parts I thru IV), and (b) that acceptance of any payments constitutes an agreement by the payee that the amounts, if any found by EPA to have been overpaid will be refunded or credited in full to EPA.

BY AND ON BEHALF OF THE DESIGNATED RECIPIENT ORGANIZATION

SIGNATURE

TYPED NAME AND TITLE

Ann Glumac, Assistant Commissioner

DATE

June 28, 1991